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# 1<sup>st</sup> Joint Symposium on Nanotechnology

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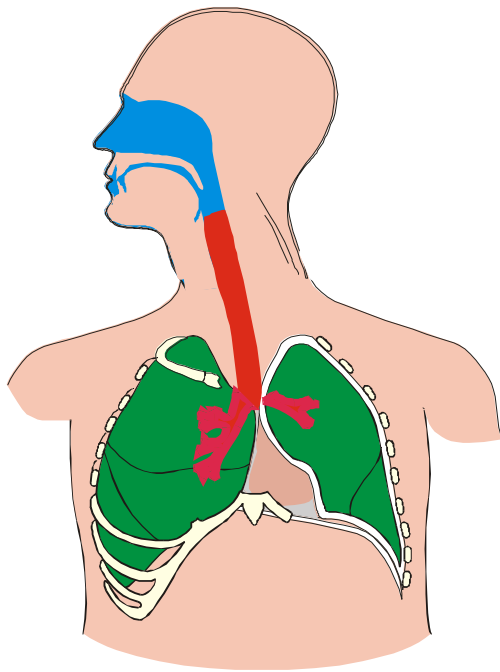
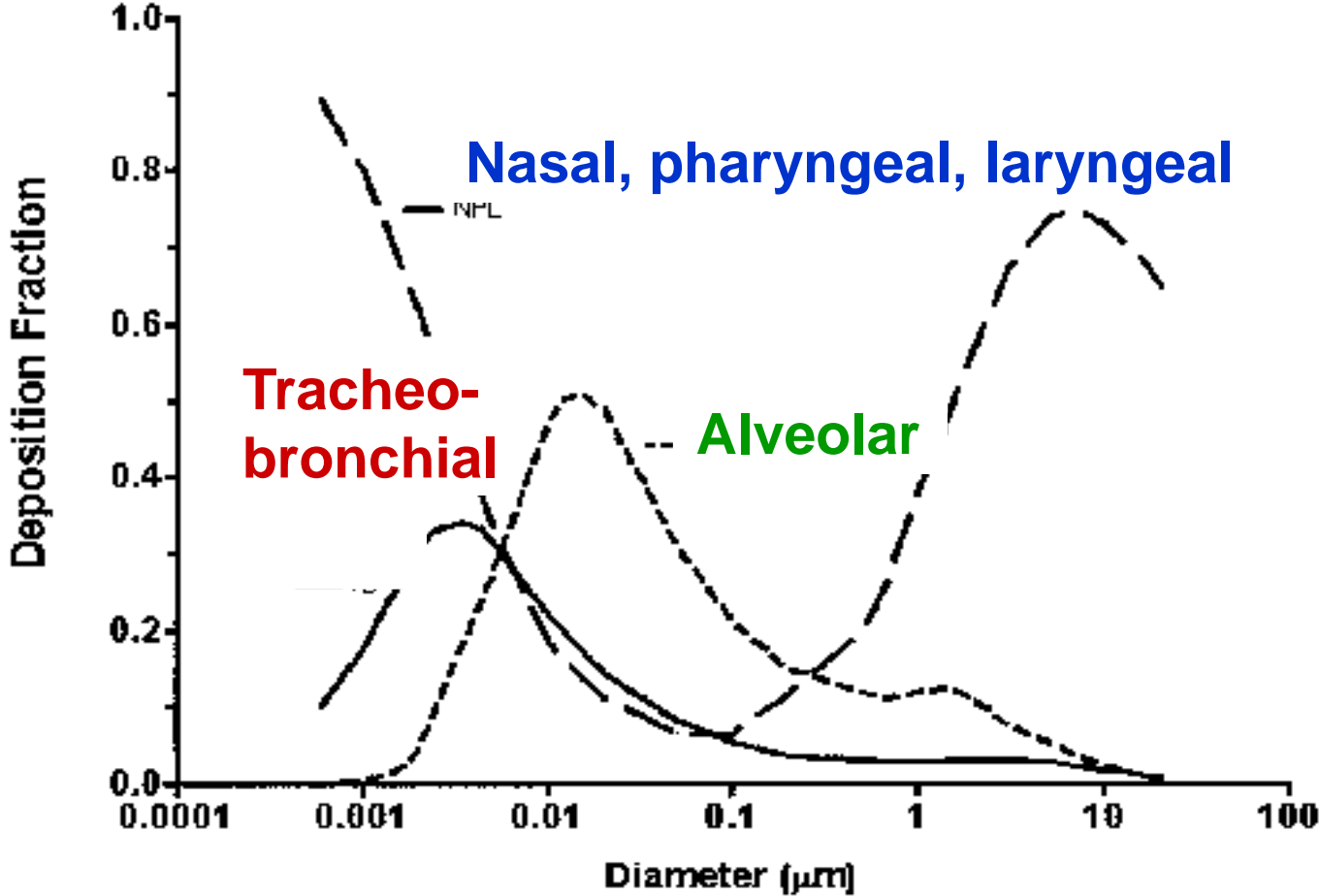
## Inhalation Toxicology

**Otto Creutzenberg**

**Fraunhofer Institute for Toxicology and Experimental Medicine, Hannover, Germany**

**March 6, 2015, BfR-Symposium, Berlin, Germany**

# Deposition of Particles: Impaction, Sedimentation, Diffusion, (Interception)



A = Alveolar; TB = Tracheobronchial; NPL = Nasal, Pharyngeal, Laryngeal

International Commission on Radiological Protection (ICRP) 1994

# Designing Inhalation Tests: Essential Issues I

## Different particle shapes need different approaches

- Granular nanoparticles →  $\text{TiO}_2$ , amorphous  $\text{SiO}_2$
- Fibrous nanoparticles → CNT

## Dispersion modes

- Dry, pressurized air
- Liquid, particle-specific formulation

# Designing Inhalation Tests: Essential Issues II

## Determinants of biokinetic behaviour

### Agglomeration status can be varied to focus on **hazard or occupational risk scenarios**

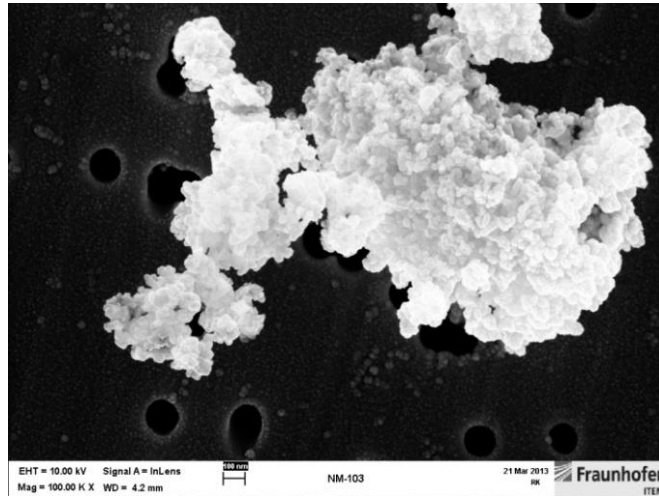
- Individual nanoparticles, by spark generator
- Agglomerates, tending to disintegration (nanoparticle/phosphate mixed type)
- Agglomerates, stable

### Solubility in physiological fluids

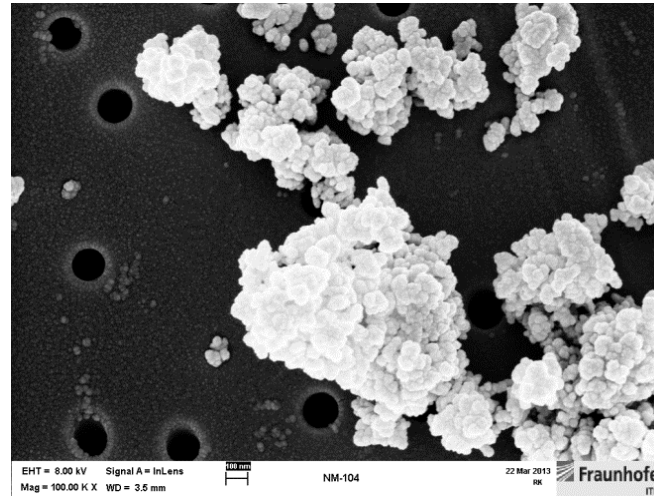
- pH=7.4 → alveolar lumen
- pH=4.5 → lysosomes in macrophages
-

# Test Items

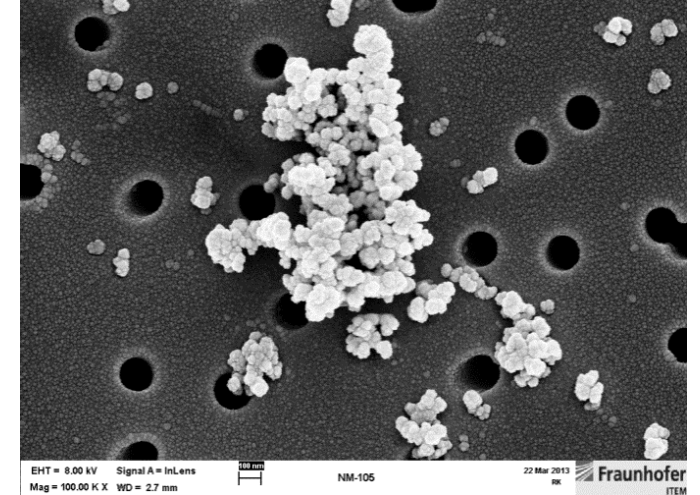
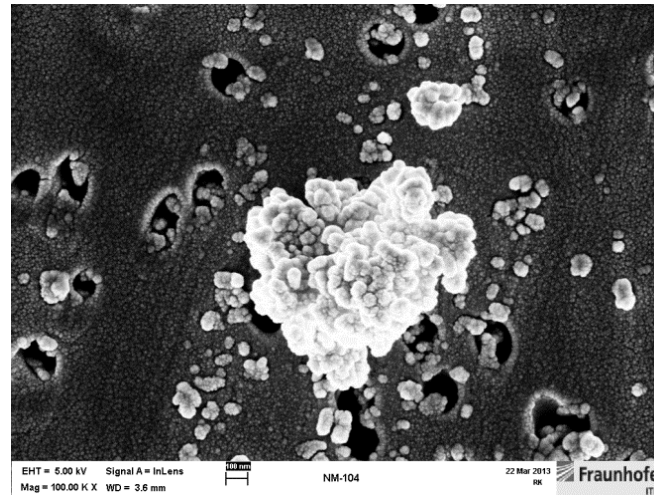
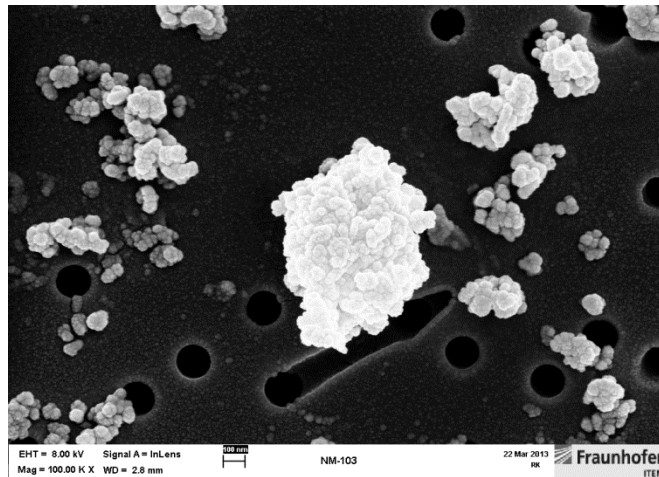
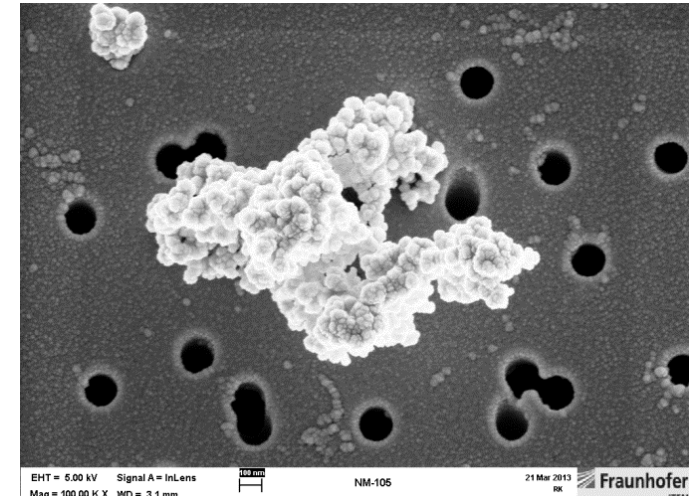
## NM-103



## NM-104



## NM-105



# Nanoparticles/ultrafine Particles

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## Properties of nanoparticle agglomerates ( $\mu$ size):

- **Aerodynamic behaviour similar to microscaled particles**
- **Agglomerate density vs. material density**
  - $MMAD \sim \text{geometric diameter} \times \rho^{1/2}$
- **Disintegration after deposition (instability)**
- **Formation of even larger agglomerates (macrophage activity)**

# ***in vivo* Inhalation Tests - State of the Art and Future**

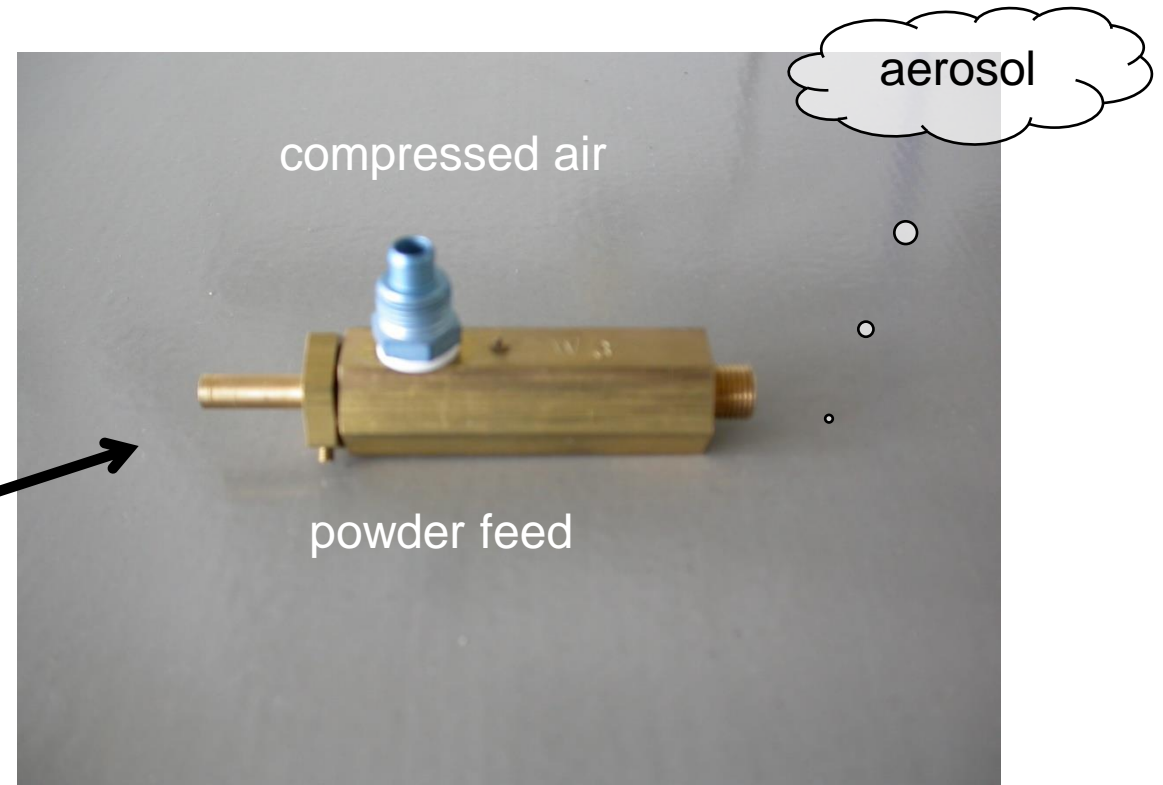
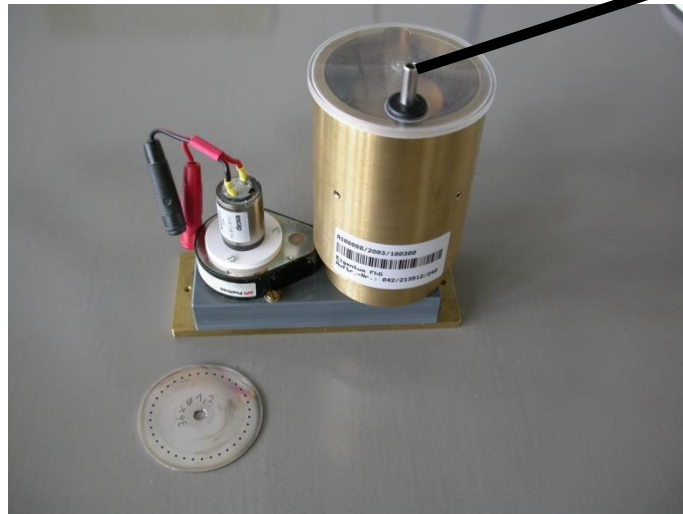
## **Approaches for Toxicity Testing of Nanoparticles**



# Aerosol Generation: Dry dispersion

## Powder

- Generation by nozzles, brush generator, etc. (into reservoir e.g.)

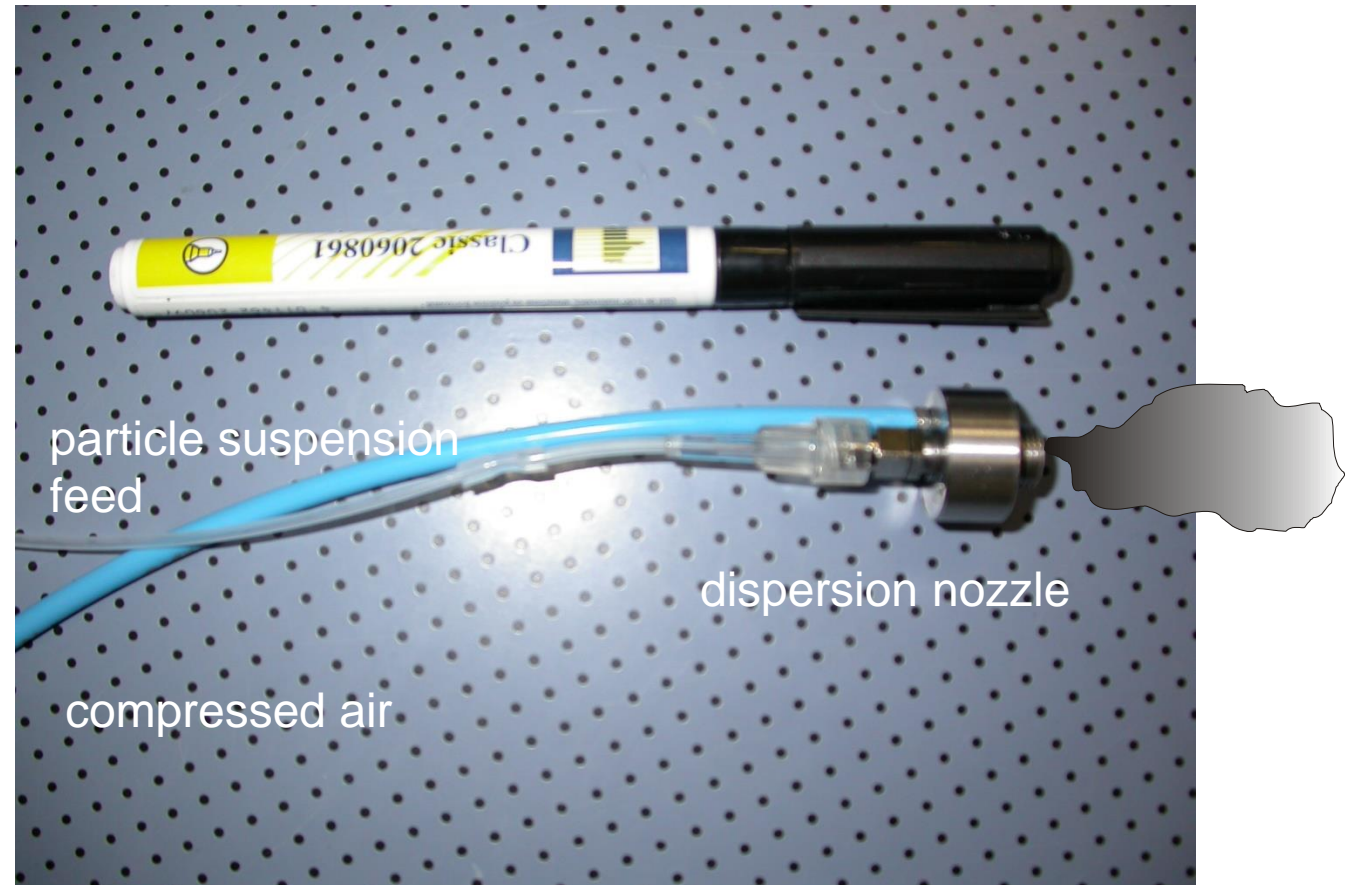




# Aerosol Generation: Liquid dispersion

## Particle suspension

- Generation by nozzles, ultrasonic nebulizers, evaporation/ recondensation, etc. (into reservoir e.g.)

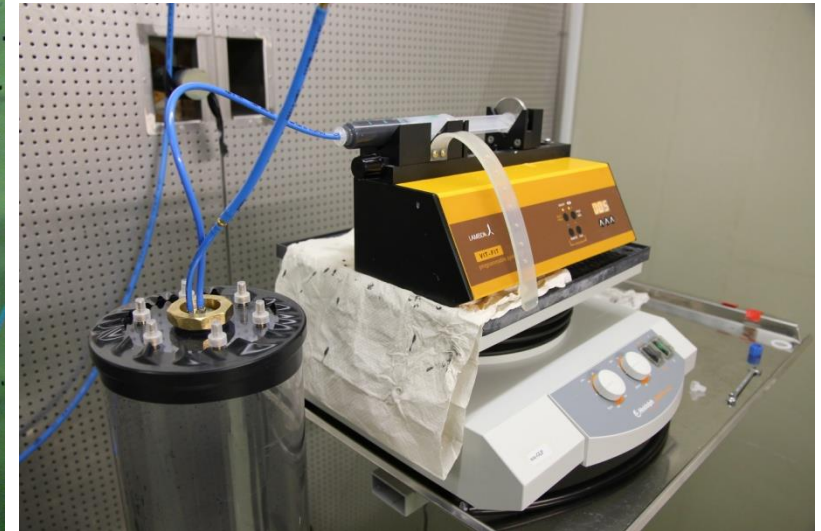


# Inhalation Set-up: Liquid Formulation

**Particle suspension stable over exposure period**

**Stable perfusor propulsion**

**Mixing chamber forming a homogeneous respirable aerosol before inhalation**



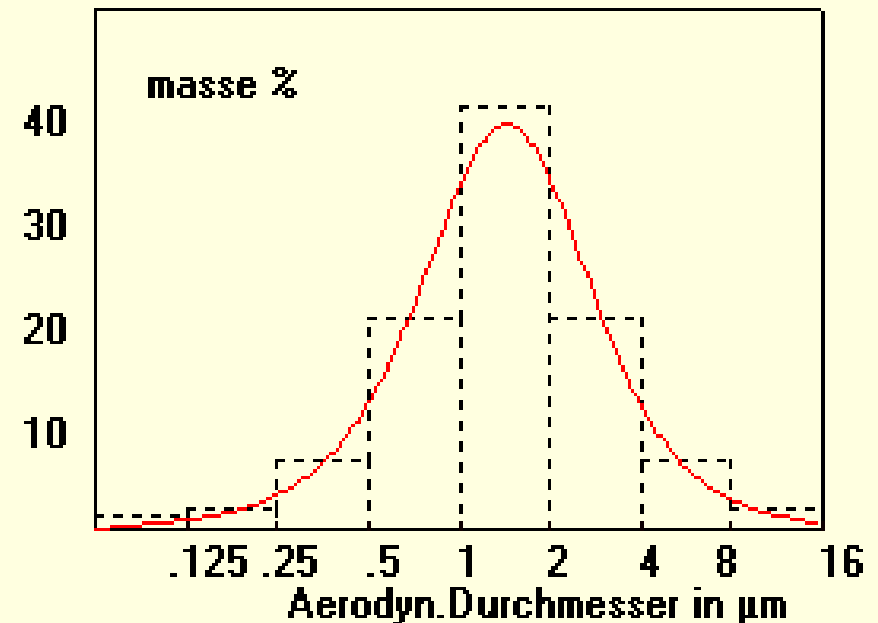
# Essential → Respirability of the Aerosol

## Size distribution: Cascade impactor → MMAD

- Mass Median Diameter (MMAD)
- Geometric Standard Deviation (GSD)

In addition needed for nanomaterials:

- Scanning mobility particle sizer SMPS
- Aerodynamic particle sizer APS



MMAD : 1.4  $\mu\text{m}$  geom. Stabw.: 2.11

# Inhalation Set-up: Carbon Nanotubes

## CNT characterization

- Measurement of length and diameter
- FE-SEM Supra 55 (Zeiss Co.)
- $\zeta$  potential
- Endotoxin analysis
- e.g. ESR analysis: Acellular - Analysis of potential ROS release

## CNT aerosol properties

- Low density  
 $\rho = 0.005-0.02$
- Clumping
- 40 mg/m<sup>3</sup> achievable

# Inhalation Set-up: Dispersion of CNT Suspension

## → Acute Toxicity Tests

Aerosolization from liquid formulation („dispersion medium“)

glucose - BSA – DPPC

**Aerosol concentration: filters**

**MMAD: Evaluation of Nuclepore® filter sample (SEM)**

Literature: Porter et al., Nanotox 2, 144 (2008); Porter et al., Tox 269, 136 (2010)

# Inhalation Set-up: Dry **Dispersion of CNT**

## **28-Day/90-day toxicity tests with MWCNT:**

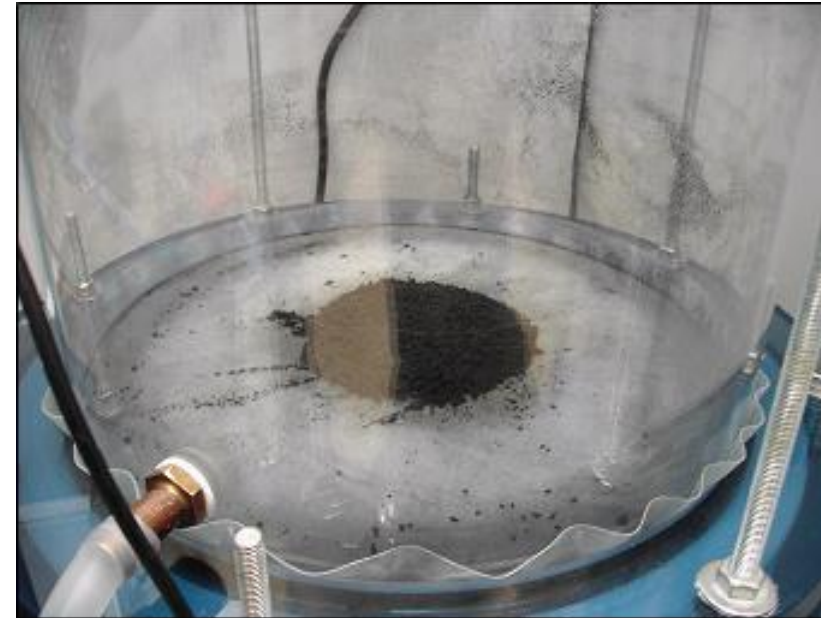
- **Use/adaption of a system developed at CDC/NIOSH (USA)**
- **Dry aerosolization by acoustical feeder system**
- **Automated computer-controlled system**
- **Aim: To generate a respirable aerosol from the bulk**



# Inhalation Set-up: Dispersion of CNT Suspension

## 28-Day/90-Day toxicity tests: Methodology

Literature: McKinney et al., Inhalation Tox 21, 1053 (2009); Porter et al., Tox 269, 136 (2010)



**CNT aerosol generator system  
constructed at Fraunhofer ITEM**



# Nanoparticle Projects at Fraunhofer ITEM Funded by Authorities

**Federal Ministry for Education and Research (BMBF)**

→ **CNT; CeO<sub>2</sub>; BaSO<sub>4</sub> - Carbon black**

**Federal Environmental Agency (UBA) → CNT**

**Federal Institute for Risk Assessment (BfR) → Ag**

**Federal Institute for Occupational Safety and Health (BAuA) → TiO<sub>2</sub>**

**European Commission – 2<sup>nd</sup> SIINN → Graphene Nanoplatelets**

## → Results from Various Inhalation Studies

- **Acute test:  $\text{Eu}_2\text{O}_3$**
- **Acute test:  $^{60}\text{Co}$ -CNT**
- **28-day test: 3  $\text{TiO}_2$**
- **90-day test: ZnO and  $\text{SiO}_2$**

**Just started: 90-day test -  $\text{CeO}_2$  and  $\text{BaSO}_4$**

# Biokinetics of Nanoscaled $\text{Eu}_2\text{O}_3$ Particles Following an Acute Inhalation in Rats

## Experimental design

- Liquid dispersion technique
- Hazard-driven exposure scenario

# Acute inhalation test with $\text{Eu}_2\text{O}_3$

- 6-hr exposure period
- Aerosol concentration:  $9.0 \text{ mg/m}^3$
- MMAD:  $1.35 \text{ }\mu\text{m}$ ; GSD: 1.65
- Deposition fractions: 6.1% P ( MPPD model v 2.11)
- Estimated deposited mass: approx.  $39.5 \text{ }\mu\text{g}$  in lungs

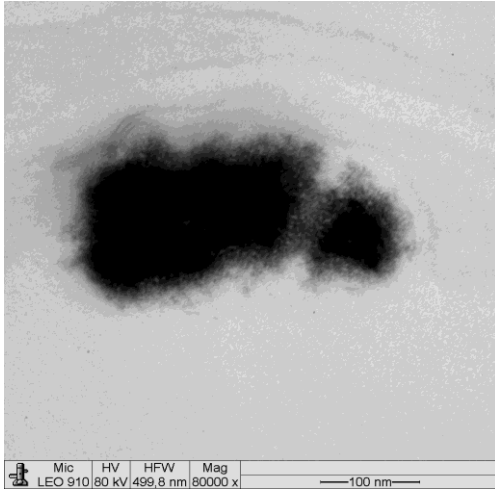
# Solubility of $\text{Eu}_2\text{O}_3$ particles at various pH values (mg/g)

Medium	pH	1 hr	24 hrs
Gamble's solution	4.5	1.3	11.4
Gamble's solution	7.4	0.0	0.2
Artificial lysosomal fluid	4.5	619	906
Artificial alveolar fluid	7.4	0.0	0.3

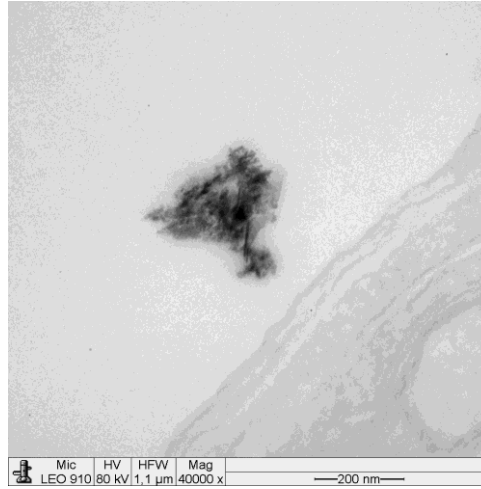
Analytical data after 2, 3, 4 or 5 days resulted in data equal to those after 24 hrs

# Data of Chemical Analysis

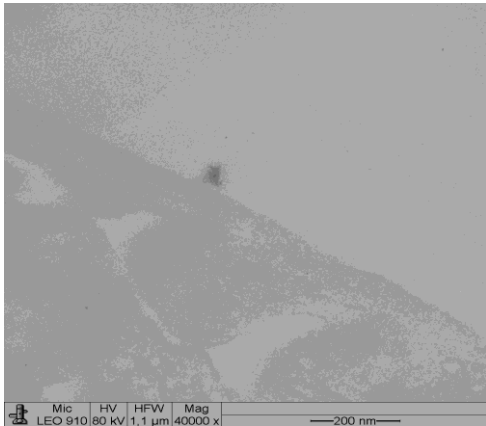
Retained europium oxide per organ - means (n=3)						
	ng/organ	% of lungs	ng/organ	% of lungs	ng/organ	% of lungs
Preserved organs	1 hour		1 day		5 days	
Lungs	36,779		34,467		35,047	
Brain	<b>2.2</b>	<b>0.006</b>	<b>3.8</b>	<b>0.011</b>	<b>3.1</b>	<b>0.009</b>
Spleen	<b>8.3</b>	<b>0.023</b>	<b>2.9</b>	<b>0.008</b>	<b>3.9</b>	<b>0.011</b>
Kidneys	<b>5.7</b>	<b>0.016</b>	<b>5.5</b>	<b>0.016</b>	<b>10.8</b>	<b>0.031</b>
Adrenals	<1	<0.003	<1	<0.003	<1	<0.003
Thymus	1.3	0.004	1.6	0.005	37.7	0.108
Liver	<b>32.3</b>	<b>0.088</b>	<b>93.8</b>	<b>0.272</b>	<b>294</b>	<b>0.854</b>
Heart	1.9	0.005	1.6	0.005	22.9	0.065
LALN	4.7	0.013	276	0.801	536	1.529
MLN	<1	<0.003	6.5	0.019	<1	<0.003
Testes	2.5	0.007	3.1	0.009	16.0	0.046
Epididymides	1.2	0.003	<1	0.003	<1	<0.003
Blood	4.8	0.013	<1	0.003	<1	<0.003
Urine	n.m.	n.m	32.5	0.094	13.9	0.040
Feces	n.m.	n.m	70,472		5200	
Normalized data for spleen, kidney, liver (ng/g Organ)						
Spleen	23.7		8.5		10.8	
Kidney	3.0		3.1		6.0	
Liver	4.6		13.2		42.0	
Drinking water: < 0.001 µg/l						
Food: < 0.001 µg/g						



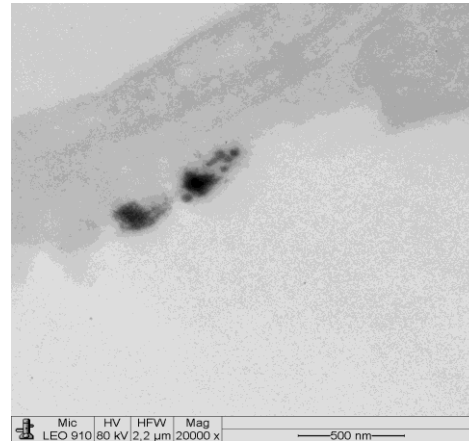
Attached to surfactant after 1 hr



Attached to cellular surface after 1 day



Attached to cellular surface after 1 hr



Attached to cellular surface after 1 day

Examples of  $\text{Eu}_2\text{O}_3$  particles in lungs detected after acute inhalation



# Conclusions

- **Liver → main translocation site of Eu (elemental) (approx. 0.1-1% of dose detected).**
- **Very small amounts of Eu were detected in other organs suggesting a very low elemental translocation effect to remote organs.**
- **TEM analysis on  $\text{Eu}_2\text{O}_3$  particles:                      Lungs → yes; Liver → no**
- **The  $\text{Eu}_2\text{O}_3$  dissolution behavior in various fluids suggests that alveolar macrophages did not effectively internalize  $\text{Eu}_2\text{O}_3$  particles.**

# Biokinetics of CNT Following an Acute Inhalation in Rats Using $^{60}\text{Co}$ Labelling

## Experimental design

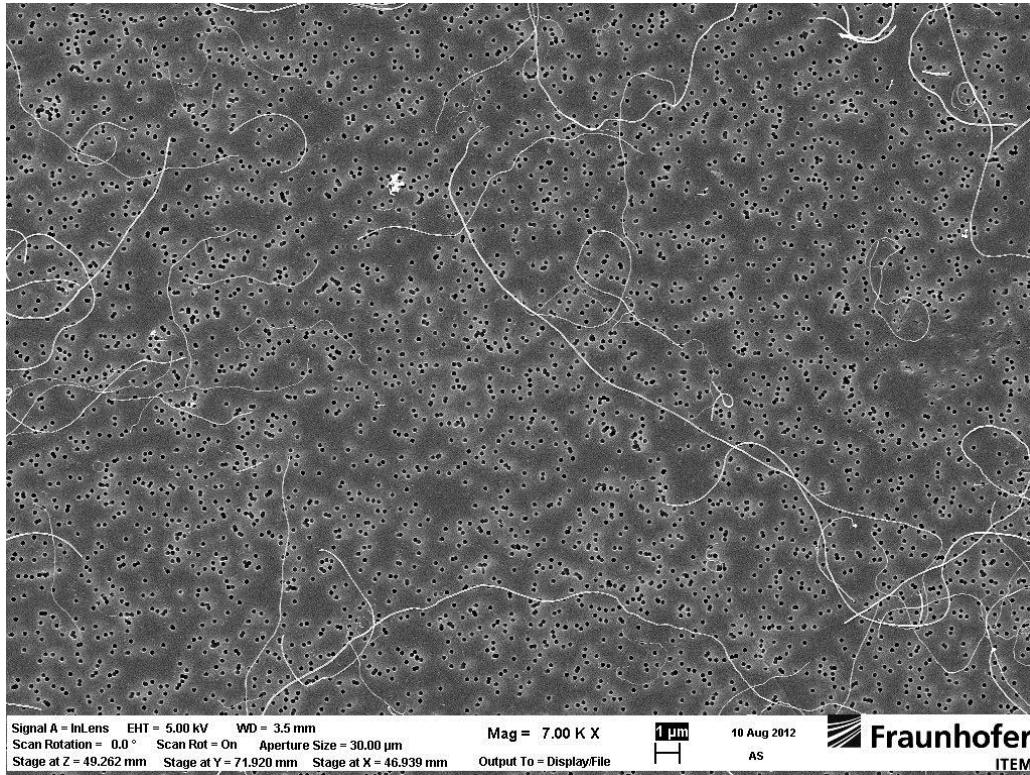
- Liquid dispersion technique
- Hazard-driven exposure scenario

# Test System - Exposure

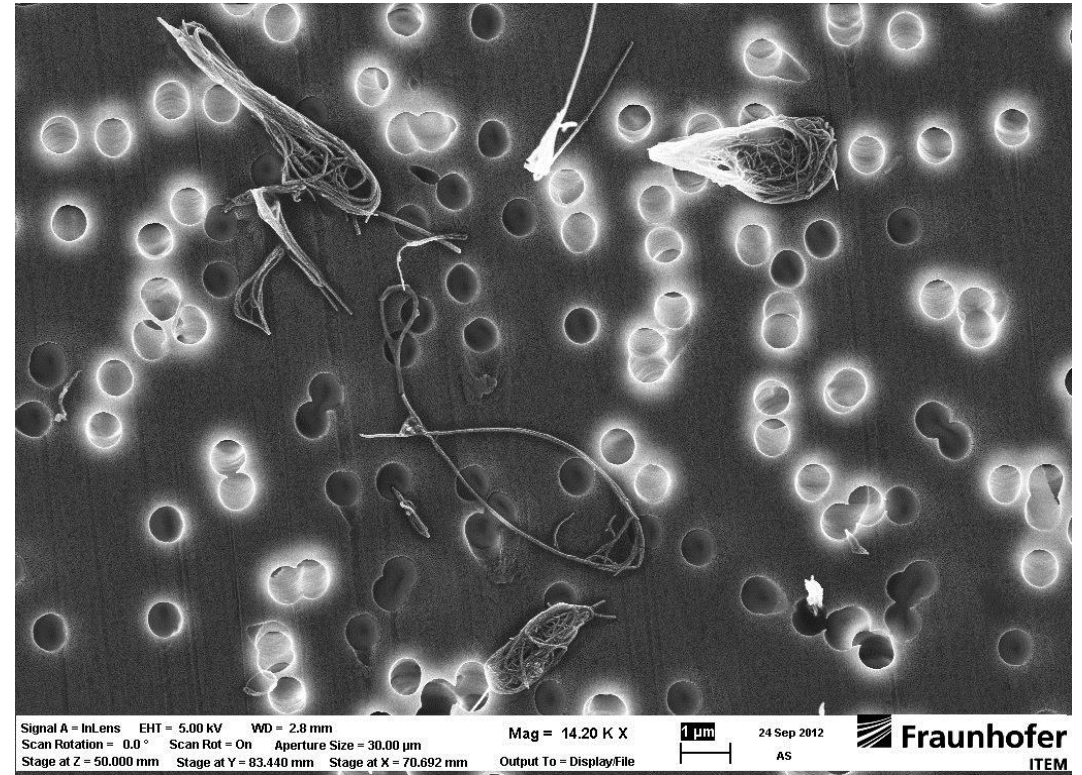
- **Wistar rats, males**
- **Aerosolisation of an aqueous formulation („dispersion medium“)**  
glucose - BSA – DPPC (Porter et al.)
- **Acute inhalation study: 1 x 4 h**
- **Aerosol concentration: 3.7 mg/m<sup>3</sup> (filter)**
- **MMAD: < 3 µm** (evaluation of nuclear pore filters; SEM)

Literature: Porter et al., Nanotox 2, 144 (2008); Porter et al., Tox 269, 136 (2010)

# SEM Photographs of MWCNT



Liquid formulation for aerosol generation



Filter sample from aerosol

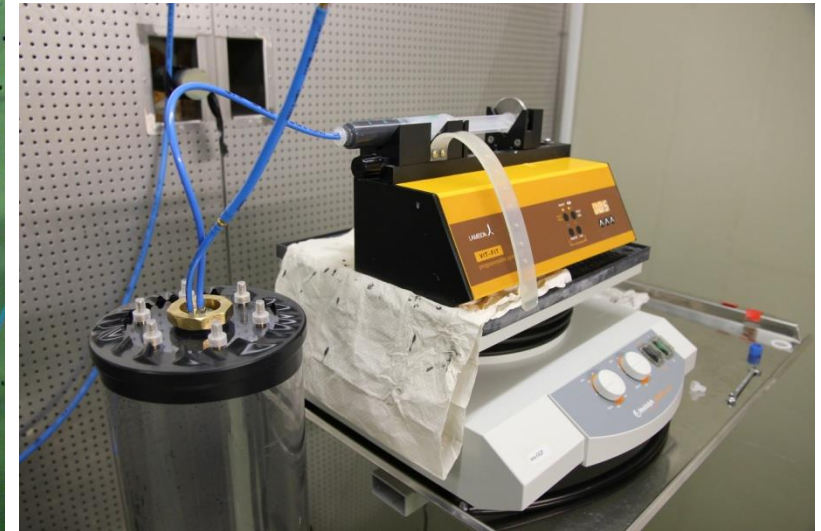


# Inhalation Set-up: Liquid Formulation

**Particle suspension stable over exposure period**

**Stable perfusor propulsion**

**Mixing chamber forming a homogeneous respirable aerosol before inhalation**



# Distribution 0, 1, 14 and 28 Days after Exposure

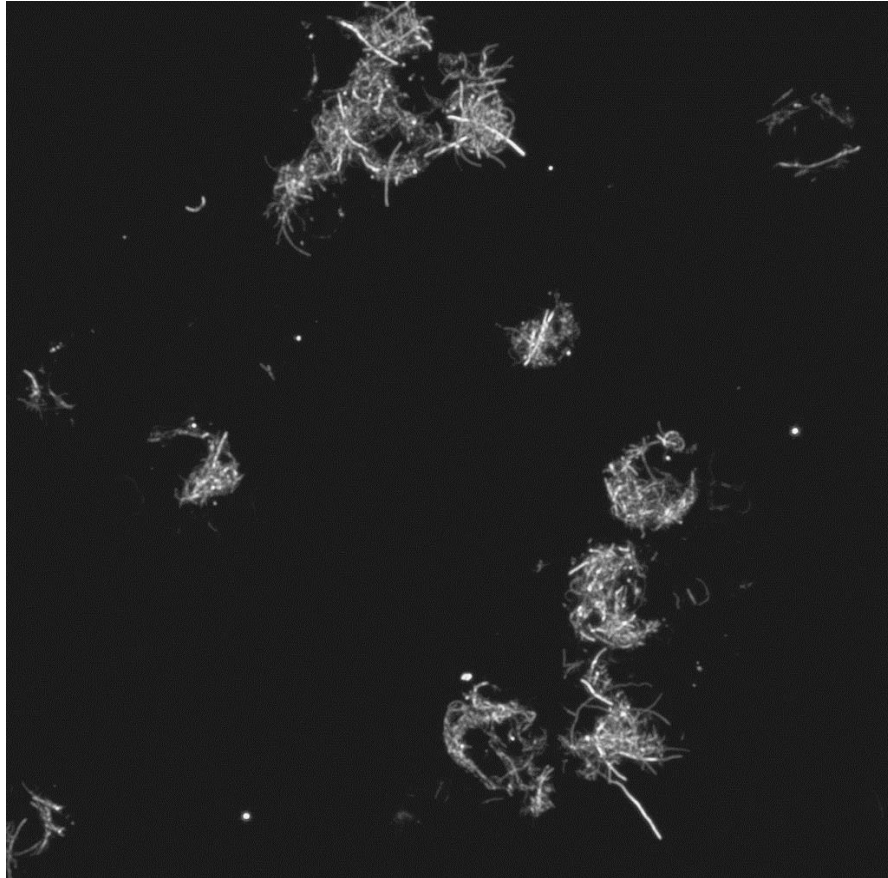
Parameter	MWCNT (%)											
	Sacrifice date (days after inhalation)											
	0			1			14			28		
	Mean	Std	N	Mean	Std	N	Mean	Std	N	Mean	Std	N
<b>Lung</b>	<b>16.0</b>			<b>10.3</b>			<b>6.7</b>			<b>5.2</b>		
<b>LALN</b>	<b>0.02</b>			<b>0.02</b>			<b>&lt;0.01</b>			<b>&lt;0.01</b>		
Liver	2.2			1.1			0.3			0.1		
Kidneys	0.8			0.2			0.05			0.03		
Brain	<0.01			<0.01			<0.01			<0.01		
Heart	0.03			0.02			<0.01			<0.01		
Spleen	0.02			<0.01			<0.01			<0.01		
Blood	0.9			0.02			<0.01			<0.01		
Pleural cast	0.3			0.05			<0.01			<0.01		
Carcass	80.3			7.4			0.7			0.4		
<b>Total</b>	<b>100</b>			<b>19.1</b>			<b>7.7</b>			<b>5.8</b>		
Urine				9.9								
Head	4.3			0.7			.	.	.	0.1		
GI tract	63.5			7.1								

## 4-Hour Inhalation Test + 0/1/14/28-day recovery

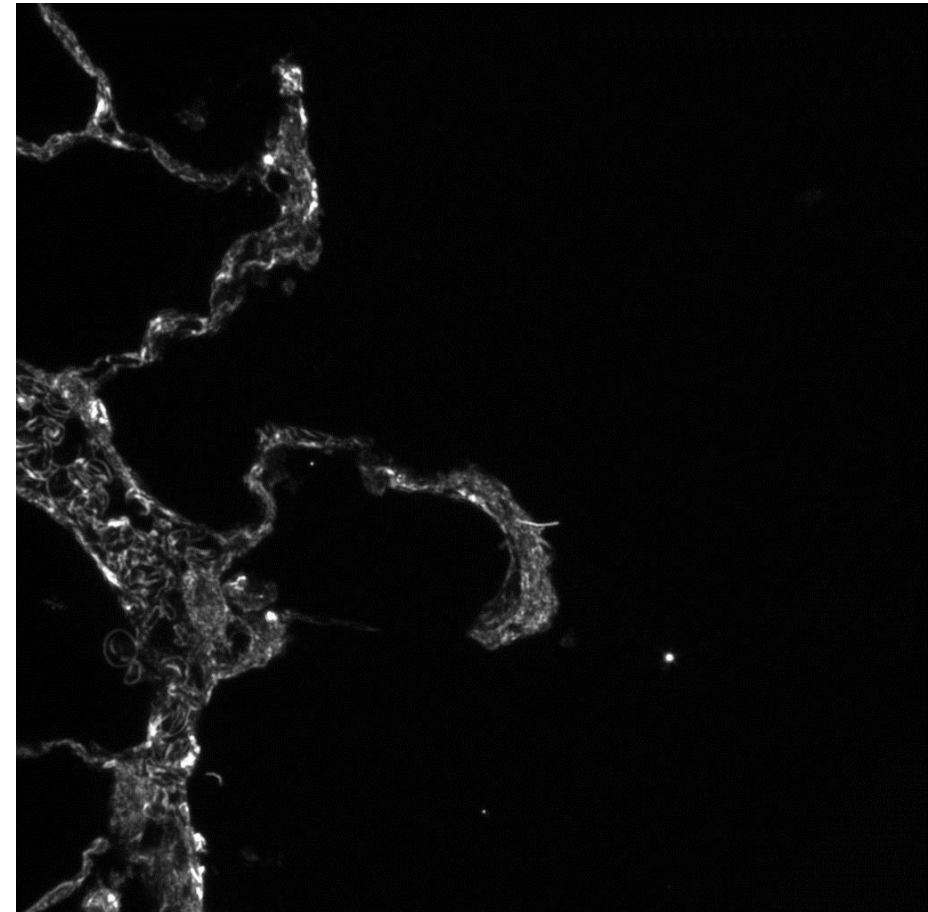
Name NM	Mass balance / Recovery Bioavailability	Biodistribution Tissue levels	C,t-curve(s) T1/2, ke
CNT 'Designer'	No evident translocation of CNT detected – With darkfield-microscopy single CNT visible in liver, kidney and pleural cleft	<p><b>Lungs:</b> approx. 10% deposition (day 1)</p> <p>Remote organs (1 hr): Considerable amounts in liver , kidneys, blood, pleural cleft; up to 2.2% (liver)</p> <p><b>Cave: Dissolved Co !</b></p> <p>Microscopy: no clear detection of CNT in urine and blood</p>	<p><b>Lungs</b> <math>T_{1/2} =</math> <b>approx. 1 mth</b></p>



# Enhanced-Darkfield Light Microscopy Imaging (Mercer et al., 2011)



MWCNT in-/outside MPh in BALF



MWCNT sticking in lung septum

# Triple of nano-TiO<sub>2</sub>

# **Biokinetic Study to Compare Three TiO<sub>2</sub> (NM-103, NM-104, NM-105) in a 28-Day Inhalation Test in Rats**

## **Experimental design**

- **Dry dispersion technique**
- **Occupational exposure scenario**

# 28-Day Inhalation Toxicity Study with 3 TiO<sub>2</sub> Varieties

## Objectives

- To mimic an occupational exposure scenario (dry dispersion technique)
- Dosing scheme: non-overload, partial and complete lung overload in the low, mid and high dose groups
- Toxicokinetic fate of TiO<sub>2</sub> agglomerates
- Identification of the respiratory cell types responsible for uptake of these particles

# Characterisation of Test Items

TiO <sub>2</sub> Samples: Characterised and provided by the EU Commission/Joint Research Center (Ch. Klein; H. Stamm)				
EU/JRC-Code	PPD (nm)	Surface - character - modified with - specific (m <sup>2</sup> /g)	Name	Modification
NM-103	20	<b>Hydrophobic</b> Dimethicone (Silicone) 60 (56.2)	UV TITAN M262	Rutile
NM-104	20	<b>Hydrophilic</b> Glycerol 60 (46.0)	UV TITAN M212	Rutile
NM-105	22	<b>Hydrophilic untreated</b> 60 (56.3)	TiO <sub>2</sub> P25 Commercial sample, purchased and characterised by EU/JRC	Anatase/Rutile 80%/20%

LUNGS → P = particulate; S = soluble; T = Total; S(%) = soluble moiety in %

	Day 3				Day 45				Day 94			
	P	S	T	S (%)	P	S	T	S (%)	P	S	T	S (%)
NM-103, low	358	8.5	366	2.3	179	5.8	185	3.1	122	4.8	135	3.5
NM-103, mid	1625	9.6	1635	0.6	1530	10.7	1541	0.7	1107	13.2	1120	1.2
NM-103, high	7081	40.0	7121	0.6	7664	37.0	7701	0.5	6028	16.9	6045	0.3
NM-104, low	436	12.1	448	2.7	370	7.2	377	1.9	209	11.4	220	5.5
NM-104, mid	1698	11.2	1710	0.7	1674	17.4	1691	1.0	1344	9.8		0.7
NM-104, high	3782	34.6	3817	0.9	3928	16.1	3944	0.4	2860	17.9	2878	0.6
NM-105, low	477	21.8	499	4.4	255	7.9	262	3.0	121	4.3	125	3.5
NM-105, mid	1819	17.4	1836	0.9	1806	39.9	1846	2.2	1345	18.1	1363	1.3
NM-105, high	5879	54.0	5933	0.9	6679	51.8	6731	0.8	5527	44.4	5531	0.8

**JRC Science and Policy Report**  
**Titanium Dioxide, NM-103, NM-104, NM-105:**  
**Characterisation and Physico-chemical Properties**  
**Report EUR 26637 EN, 2014**

**Dissolution: <1 mg/l** (0.05% BSA/Gambles solution)

**2-3 mg/l** (Caco2)

**“TiO<sub>2</sub> NMs are categorised as highly durable nanomaterials  
with regard to the TiO<sub>2</sub> core.”**



## 28-Day Inhalation Test + 1.5/3-mth recovery

Name NM	C,t-curve(s) T <sub>1/2</sub> , ke	Biodistribution Tissue levels
NM-103 low mid high	(days) T <sub>1/2</sub> = 59 T <sub>1/2</sub> = 162 T <sub>1/2</sub> = 373	<b>Lungs:</b> particulate: 96-97.5% Soluble: 2.5-5.5% in low dose groups  particulate: > 98.7% Soluble: ≤ 1.3% in mid/high dose groups
NM-104 low mid high	T <sub>1/2</sub> = 85 T <sub>1/2</sub> = 267 T <sub>1/2</sub> = 315	<b>Liver:</b> test items generally below the limit of detection (but in some individual rats masses of up to 200 µg/liver) <b>Brain:</b> below the limit of detection
NM-105 low mid high	T <sub>1/2</sub> = 48 T <sub>1/2</sub> = 204 T <sub>1/2</sub> = 485	<b>Solubility of the test items limited by a given maximum under the conditions of the lung ambience</b>

# Biokinetics of nano-ZnO and nano-SiO<sub>2</sub> after 90-Day Inhalation in Rats

## Experimental design

- Dry dispersion technique
- Occupational exposure scenario

# Zinc Oxide

# Test/Reference Items - Exposure

BASF Z-Cote; zincite coated with triethoxycaprylylsilane, 130 nm

- **Z-COTE<sup>®</sup> HP1** (nano-ZnO; coated with triethoxycaprylylsilane; BASF) → **Cosmetics sector**  
**NM-111**

- **Z-COTE<sup>®</sup>** (nano-ZnO; uncoated; BASF)  
**NM-110**

- **ZnO 205532** ( $\mu$ -ZnO; Sigma-Aldrich)

- **Risk-related exposure scenarios** → Dry dispersion/agglomerates

# Solubility of Test Items in Various Media

test item	Matrix	pH	Solubility (%)
blank	Gambles S	4.5	< 0,01
		7.4	< 0,01
	Artificial LF	4.5	< 0,01
	Artificial AF	7.4	< 0,01
Z-COTE HP1	Gambles S	4.5	< 20
		7.4	< 0,05
	Artificial LF	4.5	> 90
	Artificial AF	7.4	< 0,05
Z-COTE	Gambles S	4.5	< 10
		7.4	< 0,05
	Artificial LF	4.5	> 90
	Artificial AF	7.4	< 0,05
Microscaled ZnO	Gambles S	4.5	< 20
		7.4	< 0,05
	Artificial LF	4.5	> 90
	Artificial AF	7.4	< 0,05

# 90-Day Study: Absolute Zn content in organs, blood, urine and feces

## Day 1 post-exposure

	Clean air control		Z-Cote® HP1 0.3 mg/m <sup>3</sup>		Z-Cote® HP1 1.5 mg/m <sup>3</sup>		<b>Z-Cote® HP1 4.5 mg/m<sup>3</sup></b>		microscaled ZnO 4.5 mg/m <sup>3</sup>	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
	(µg/Organ)		(µg/Organ)		(µg/Organ)		(µg/Organ)		(µg/Organ)	
LALN	2.60	1.55	2.86	0.78	2.01	0.40	2.53	0.70	2.98	1.68
MSLN	2.62	0.77	3.52	0.60	2.72	1.79	2.20	0.52	4.43	2.74
Brain	23.2	0.9	22.9	0.7	21.4	1.3	21.4	1.4	*20.8	1.4
Kidneys	58.1	3.5	56.2	5.4	*49.4	3.4	*49.5	4.1	51.7	6.7
Liver	276	19	320	29	287	27	287	20	302	80
<b>Lung</b>	19.9	0.2	20.4	0.6	22.2	2.0	<b>**35.8</b>	1.7	22.4	1.9
Blood	327	37	278	15	291	17	368	15	355	61
	(µg/16h)		(µg/16h)		(µg/16h)		(µg/16h)		(µg/16h)	
Urin	2.90	1.91	5.68	5.04	3.63	1.92	2.40	0.72	4.46	1.84
Feces	565	185	472	111	466	259	673	104	475	210

# 90-Day Study: Summary ZnO

## Toxicokinetics

Zn chemical analysis:

Detectable only at day 1 post-exposure;  
statistically significant in lungs for NM-111

Not longer increased at day 29 post-exposure

ZnO particles in tissues not detectable by TEM



# Amorphous SiO<sub>2</sub>

# Test Item - Exposure

**NM-200** (nano-SiO<sub>2</sub>; precipitated synthetic amorphous silica; CAS # 112926-00-8; Master-Batch: JRC)

→ **Food sector**

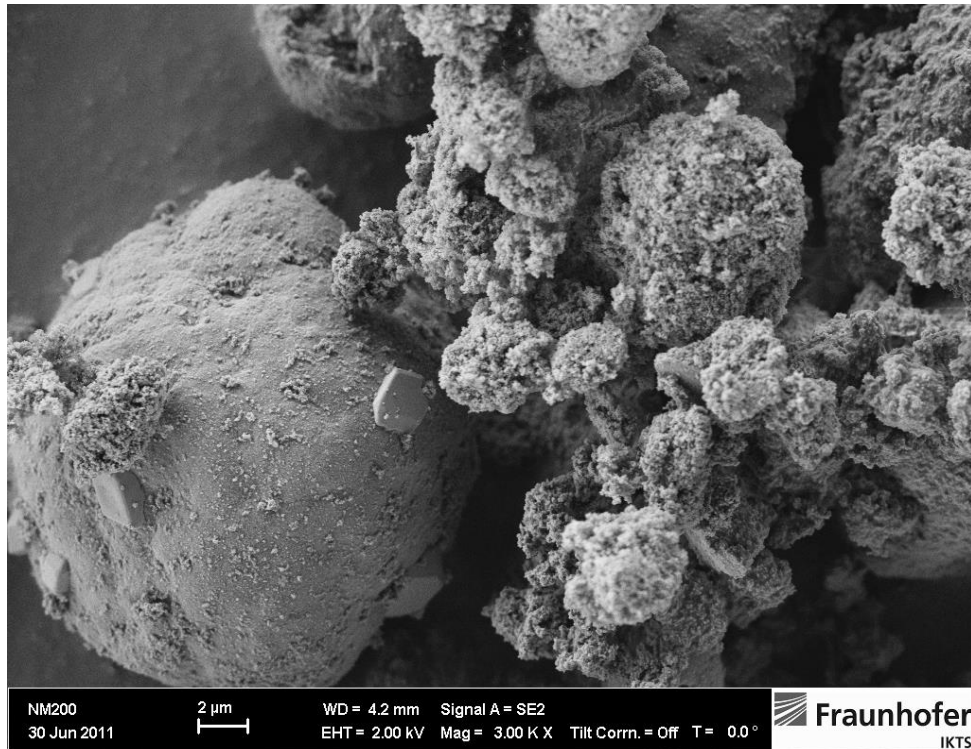
• **Risk-related exposure scenarios → Dry dispersion/agglomerates**

# Structure / Solubility of NM-200 in Water and Media

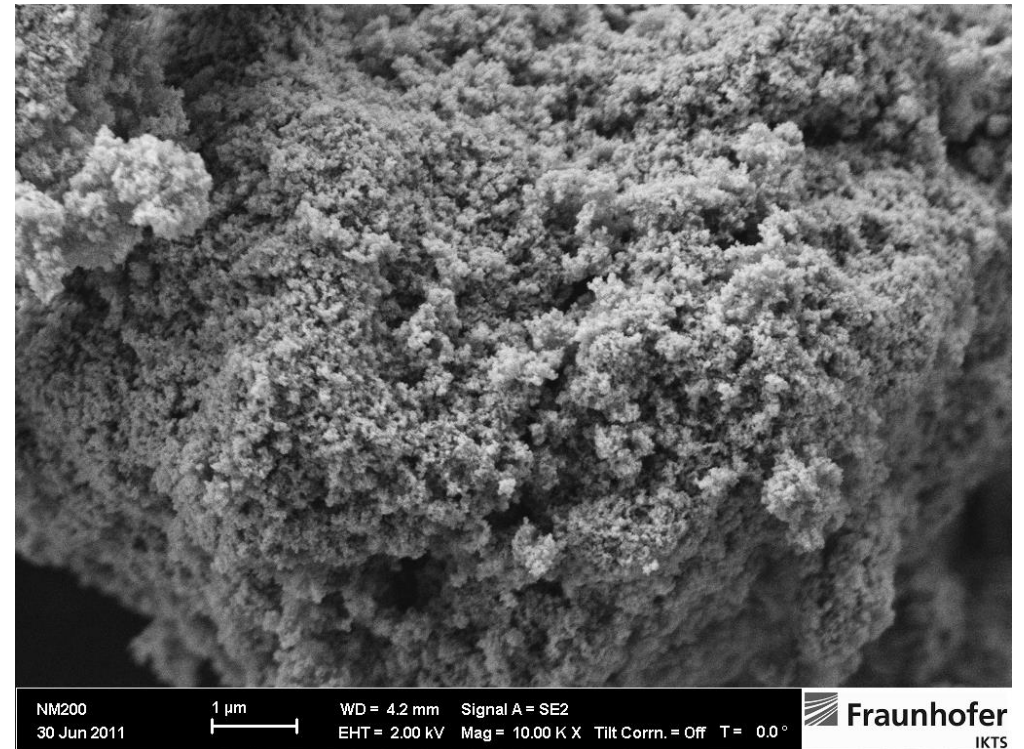
- Structure:
  - nanostuctured material consisting of nanoscaled primary particles that are sintered to aggregates in the micrometer range
- Specific surface
  - 199 m<sup>2</sup>/g
- Dissolution
  - in water: approx. 5% over 2 wks.
  - in physiological media: same magnitude as in water/  
a bit reduced

# NM-200: SEM Photographs

SEM / 3K magnification



SEM / 10K magnification



# 90-Day Study: Retention of Test Item in Lungs

Retention $\mu\text{g}/\text{lung}$	90 + 1 day	90 + 30 days	90 + 90 days	$t_{1/2}$ (days)
NM-200 low	91	35	12	<b>32</b>
NM-200 mid	172	79	21	<b>31</b>
NM-200 high	307	150	34	<b>28</b>
Controls: $<5\mu\text{g}/\text{lung}$				

**True density excluding voids:  $2.19 \text{ g}/\text{cm}^3$**

**Bulk density:  $0.12 \text{ g}/\text{cm}^3$**

**Tap density:  $0.16 \text{ g}/\text{cm}^3$**

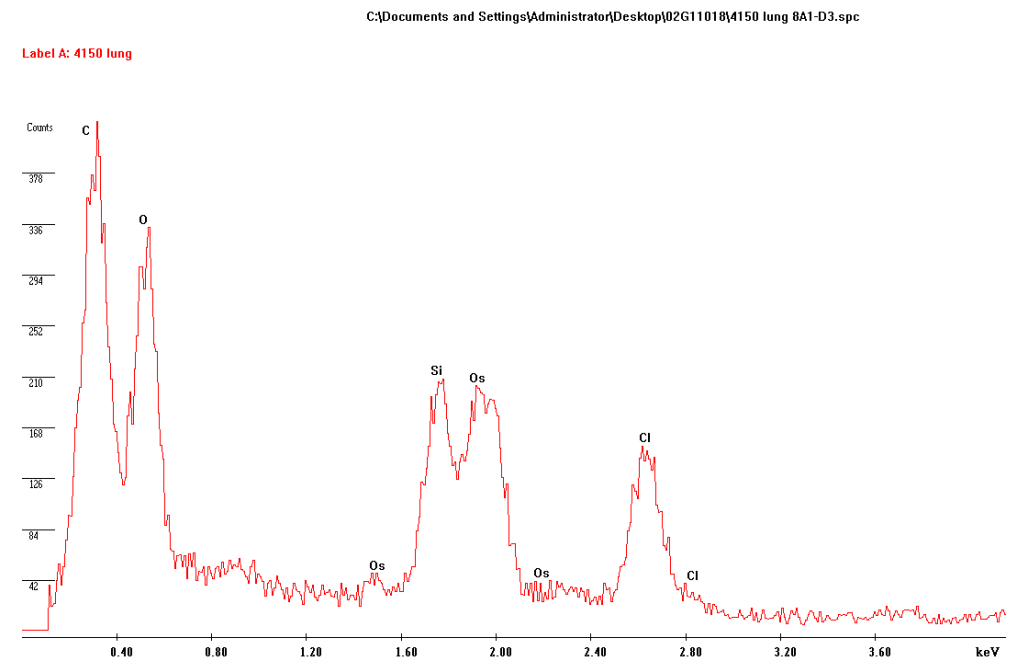
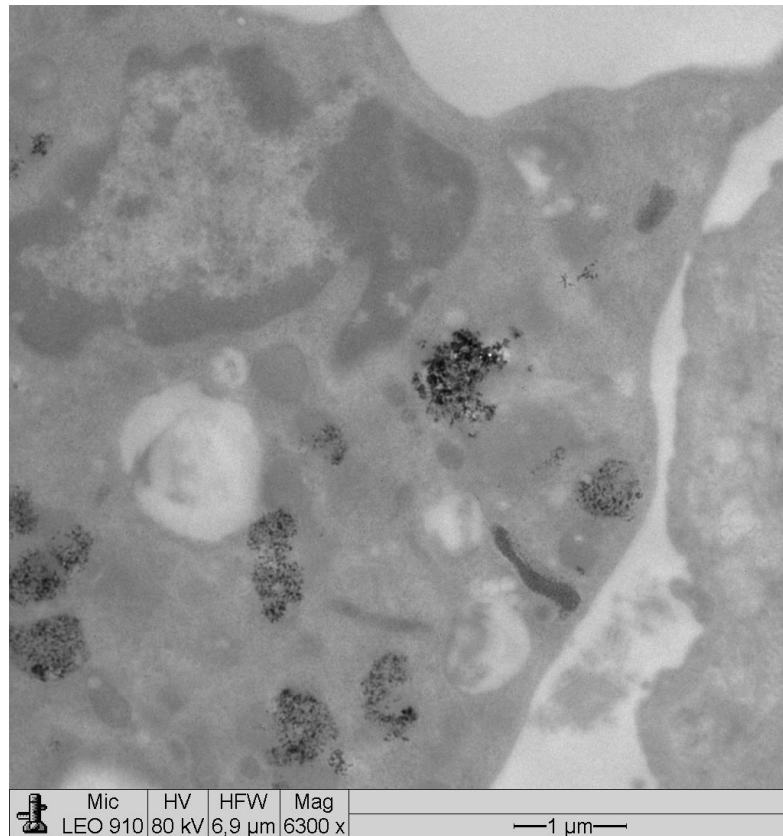
**Agglomerate density: approx.  $0.5 - 1 \text{ g}/\text{cm}^3$**

**→ No overload in the high dose group at day 1**

**→ Evident dissolution effect , in addition to the physiological clearance**

# 90-Day Study: TEM Analysis SiO<sub>2</sub>

Animal 4150; high dose; day 91 of recovery → SiO<sub>2</sub> particles in lung intraalveolar macrophages



# 90-Day Study: Summary SiO<sub>2</sub>

## Toxicokinetics

- Si analysis: Detectable only in lungs - Day 1, 29 and 91 post-exposure
- SiO<sub>2</sub> particles detectable in lungs/LALN up to 91 day post exposure
  - not detectable in remote organs by TEM  
(nasal epithelium, trachea, larynx, liver, spleen, kidney and mesenteric lymph node)



## Biokinetic fate of nanoparticles dependent on:

- **Status: Individual vs. agglomerated particles**
  - Deposition efficiency
- **Analytical vs. toxic lung load**
  - Lung clearance efficiency
- **Range of substance solubility (pH 7.4 - pH 4.5)**
- **Surface modification chemistry (without/with)**