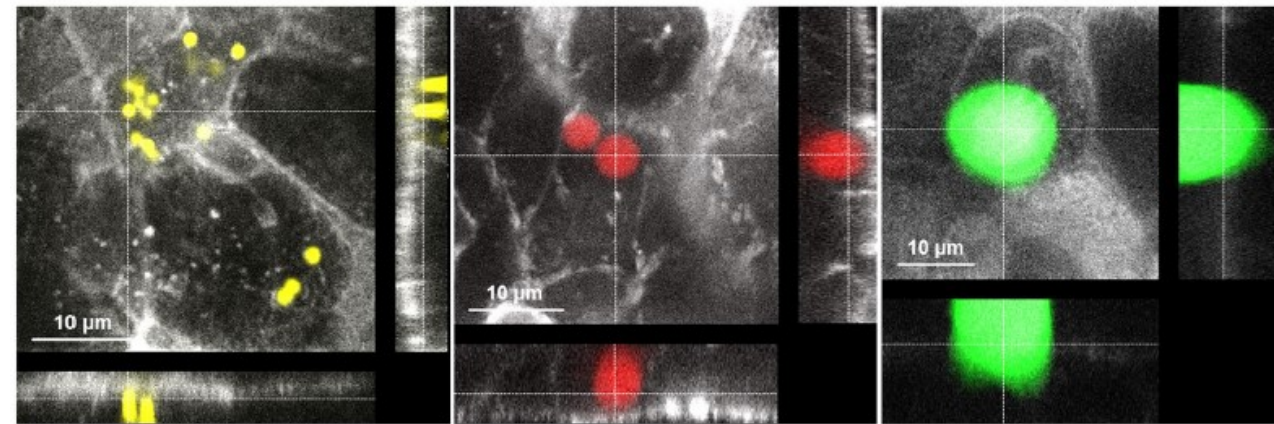


# Food Safety Research and Risk Assessment of Micro-, Submicro- and Nanoplastics



4<sup>th</sup> Joint Symposium on Nanotechnology

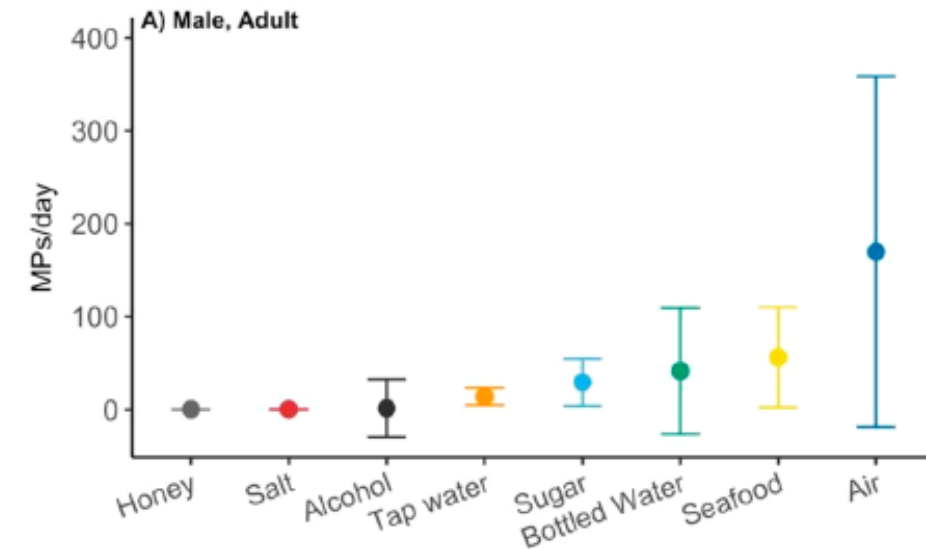
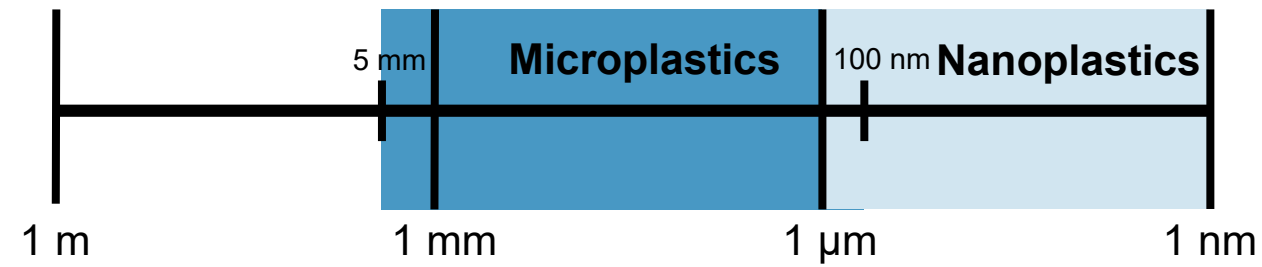
Dr. Holger Sieg

German Federal Institute for Risk Assessment

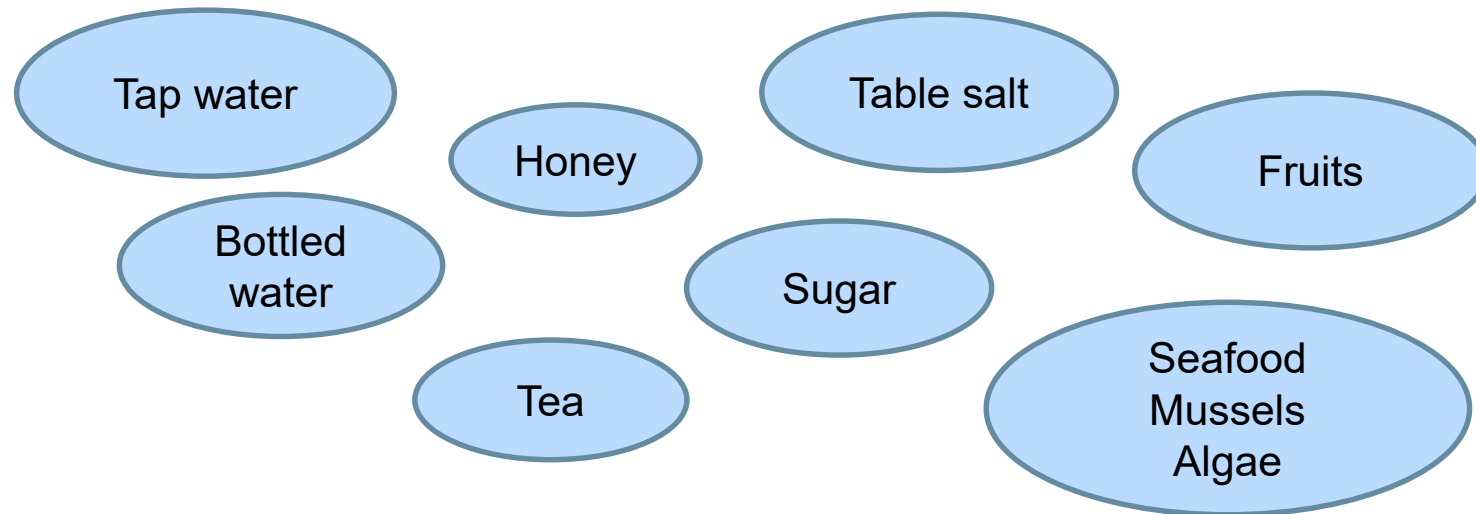
Department of Food Safety

holger.sieg@bfr.bund.de

# Microplastics: Definition



Cox et al., 2019: „Human Consumption of Microplastics“, *Env.Sc.&Tox.*



- food
- food production, packaging, food preparation
- food contact materials
- first study in human blood samples

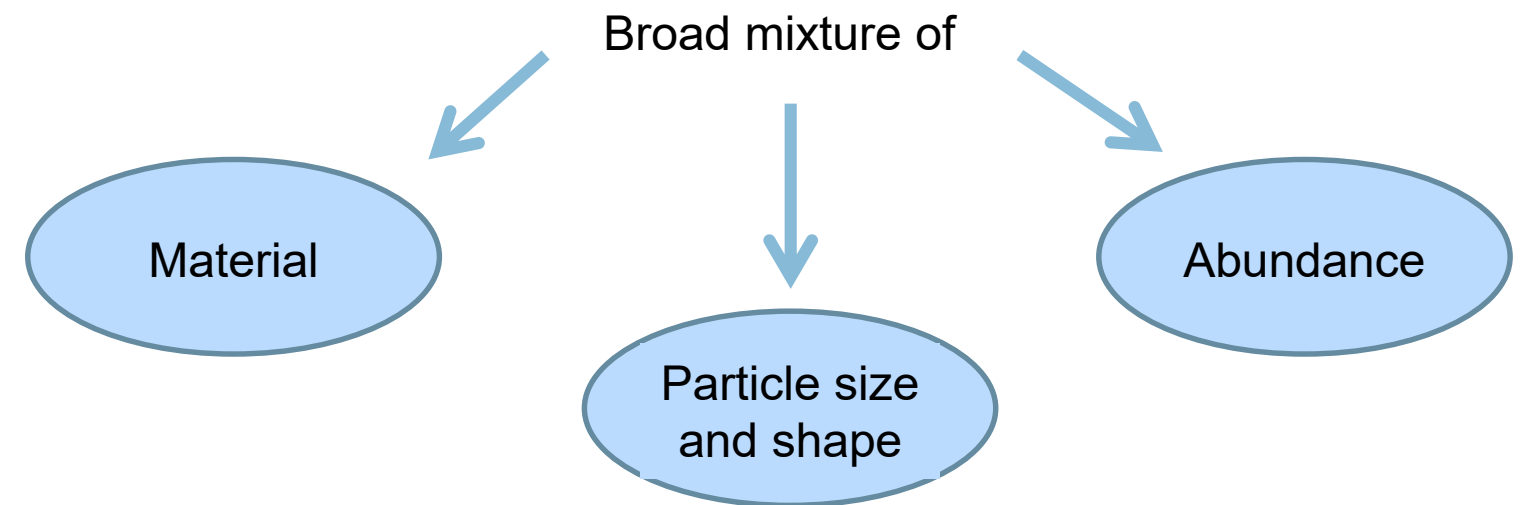
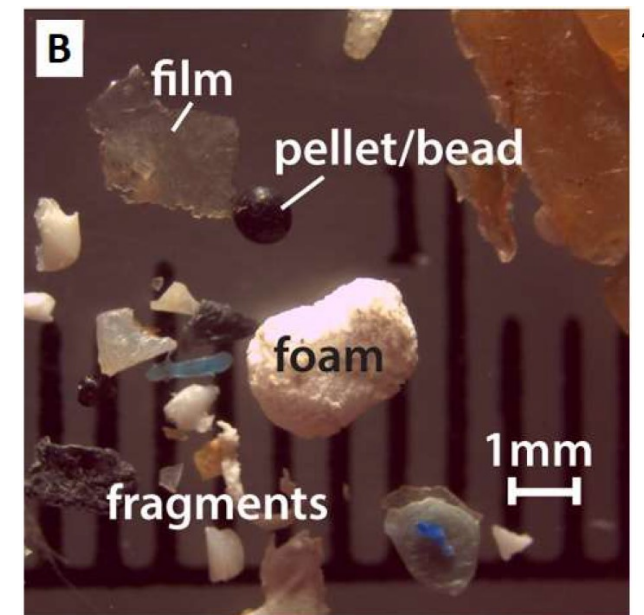
# Risk Assessment of Microplastics: Material challenges

Single chemical



- Hazard Identification: Chemical properties
- Hazard Characterization: Effects, Dose-response-relationships
- Exposure Assessment
- Risk Assessment: Health-Based Guidance Values (HBGV)

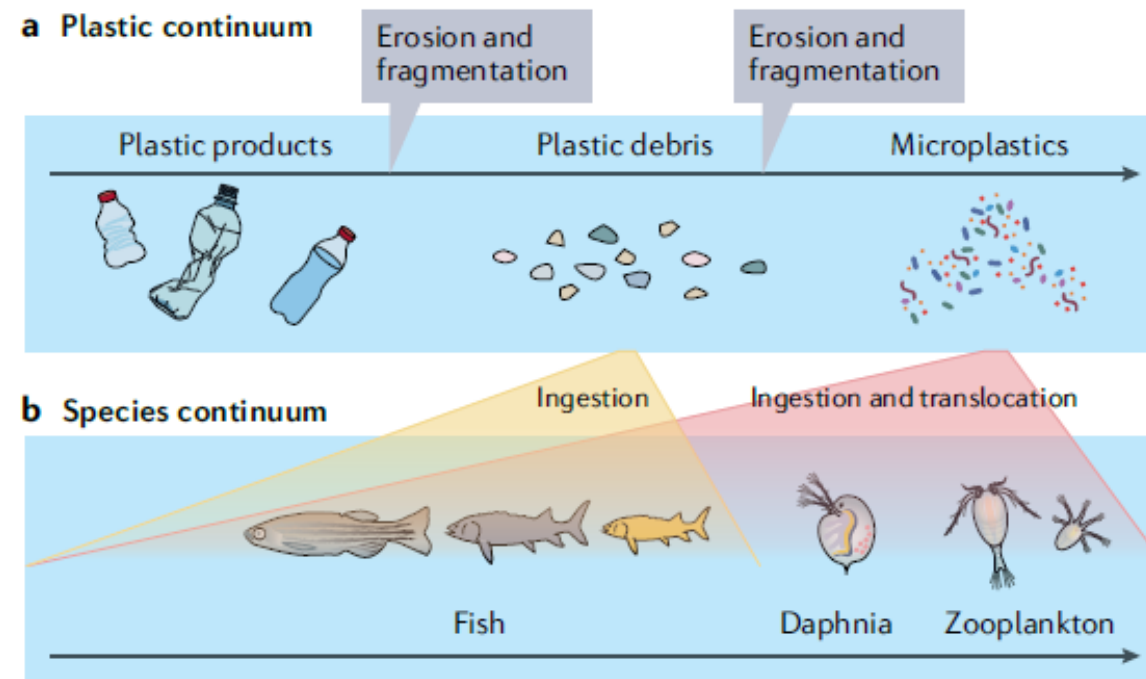
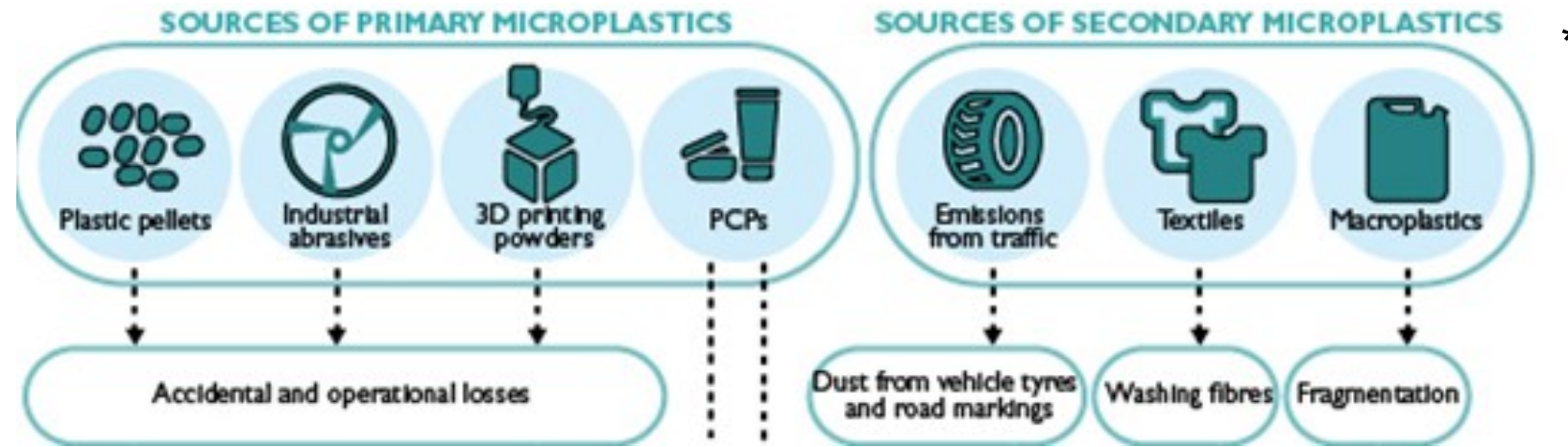
Microplastics



Plastics does not equal plastics !  
Risk assessment is challenging !

\*calroth.com  
\*Baldwin et al. 2016. Plastic debris in 29 Great Lakes tributaries: Relations to watershed attributes and hydrology. *Environ Sci Technol.* 50(19):10377-10385.

# Microplastics: Abundance



## Risk assessment of microplastic particles

Albert A. Koelmans<sup>1</sup>, Paula E. Redondo-Hasselerharm<sup>2</sup>, Nur Hazimah Mohamed Nor<sup>3</sup>, Vera N. de Ruijter<sup>4</sup>, Svenja M. Mintenig and Merel Kooij<sup>5</sup>

\*Image source: Finnish Environment Institute (SYKE) 2017.



# Microplastics: Abundance

- Need for a differentiated view:

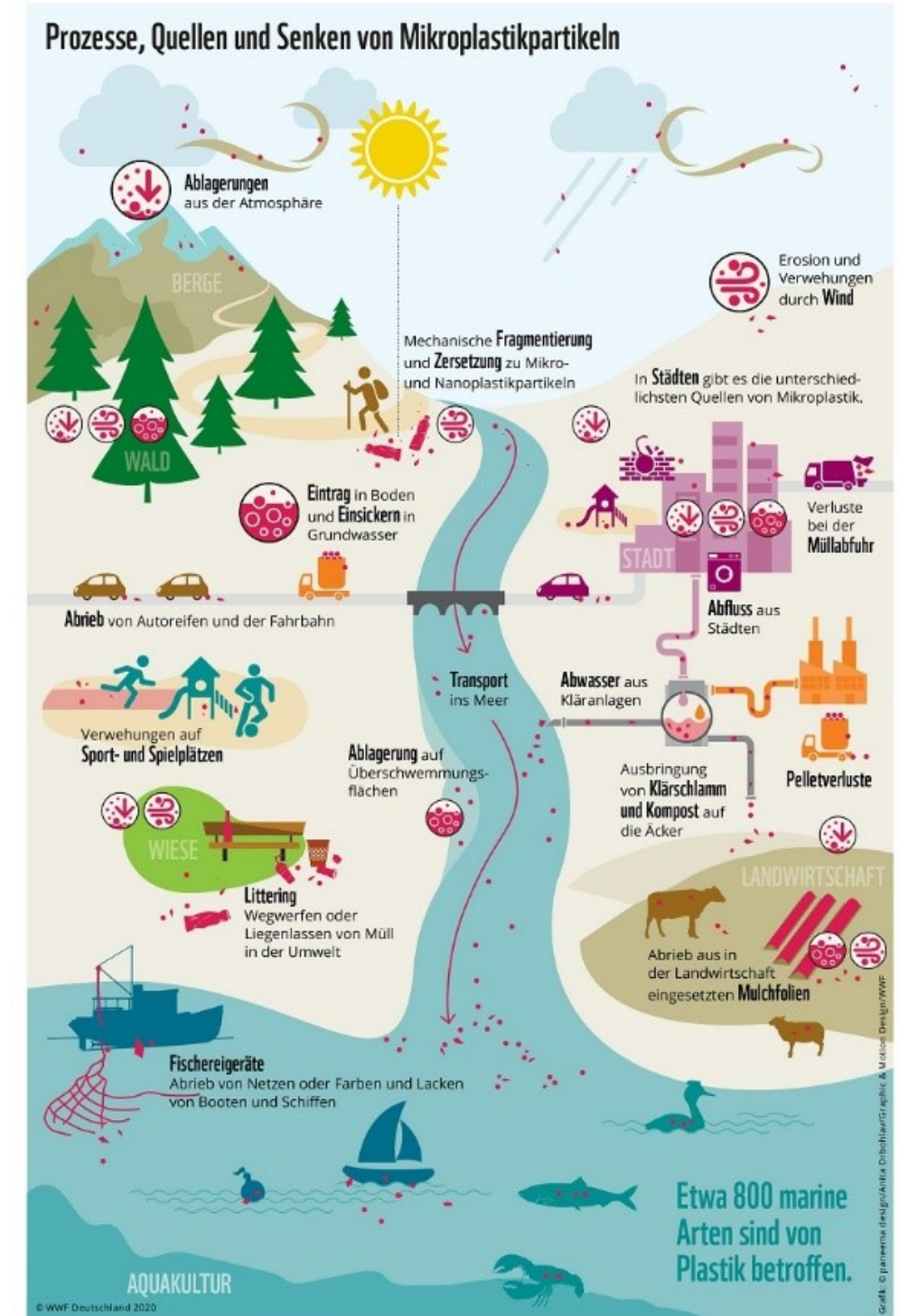
• Plastic waste ↔ Microplastics

• Environmental protection ↔ Human consumer protection

• Exposure route: Oral ↔ Dermal ↔ Inhalative

• Material: Inert Polymer ↔ Additives, Contaminants, Biofilms

• Size range: Larger particles ↔ Small particles



# Microplastics: Detection methods

Early studies: Liebezeit 2013/14: Microplastics in honey and beer

Microscopic detection without material analysis

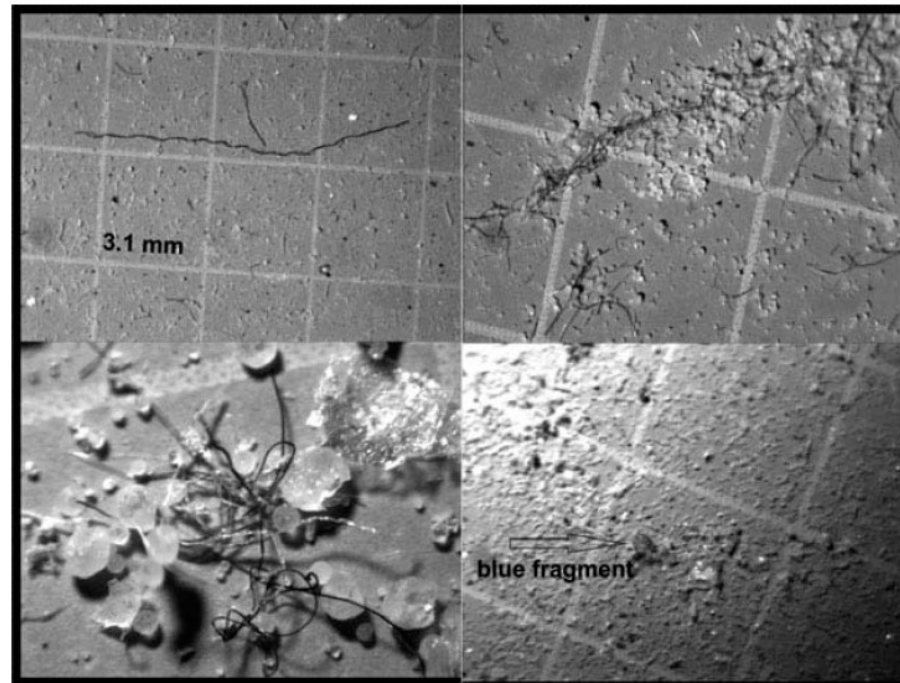


Figure 1. Examples of fibres and fragments in four honey samples after peroxide treatment before wax melting.

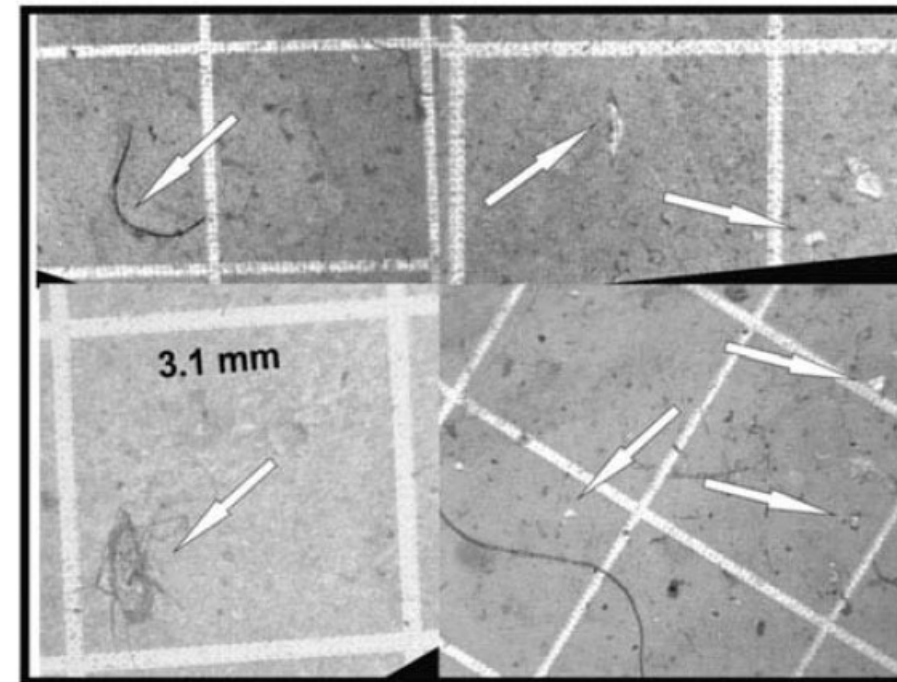
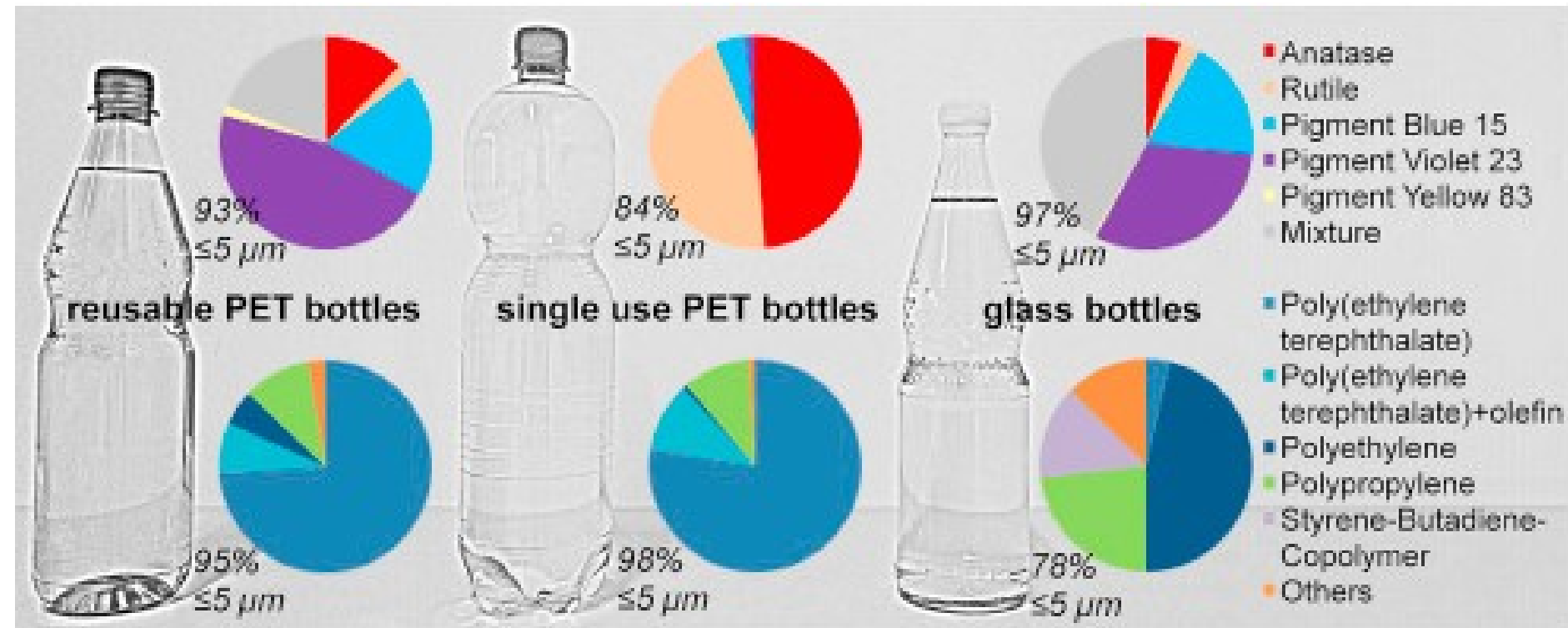


Figure 1. Examples of microplastic contaminations in German beers. White arrows indicate non-stained synthetic material.

# Microplastics: Detection methods

More recent studies use a variety of methods including material characterization.



*Small-sized microplastics and pigmented particles in bottled mineral water, Barbara E. Oßmann et al., DOI:10.1016/j.watres.2018.05.027*

# Microplastics: Detection methods

Table 3: Generation of results for different detection methods (for abbreviations of methods, see appendix)

Characteristics	Spectroscopic						Thermoanalytical				Chemical
	μ Raman	μ FTIR (trans)	FPA FTIR (trans)	μ ATR-FTIR	ATR-FTIR	NIR / Hyper-spectral Imaging	Py-GC-MS	Mod. Py-GC-MS	TED-GC-MS	DSC	ICP-MS
Type of polymer	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Only PE, PP	Only tyre abrasion
Detectable additives	Pigments	No	No	No	No	No	Yes	No	No	No	No
Particle surface (chemical)	Yes	No	No	No	Yes	Yes	No	No	No	No	No
State of degradation*	Surface Oxidation	No	No	Surface Oxidation	Surface Oxidation	No	Oxidation	No	No	Mol. weight	No
Particle number, particle size, particle shape, particle surface morphology	Yes	Yes	Yes	Yes	Yes	No	No	No	No	No	No
Mass balances	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes

- No universal detection method -> Combined methods needed
- Up to now no validation or standardisation
- Size limitations, complex food matrix
- Still no routine food control possible

Eine Initiative des Bundesministeriums für Bildung und Forschung

**Plastik in der Umwelt**

Quellen • Senken • Lösungsansätze

PlastikNet

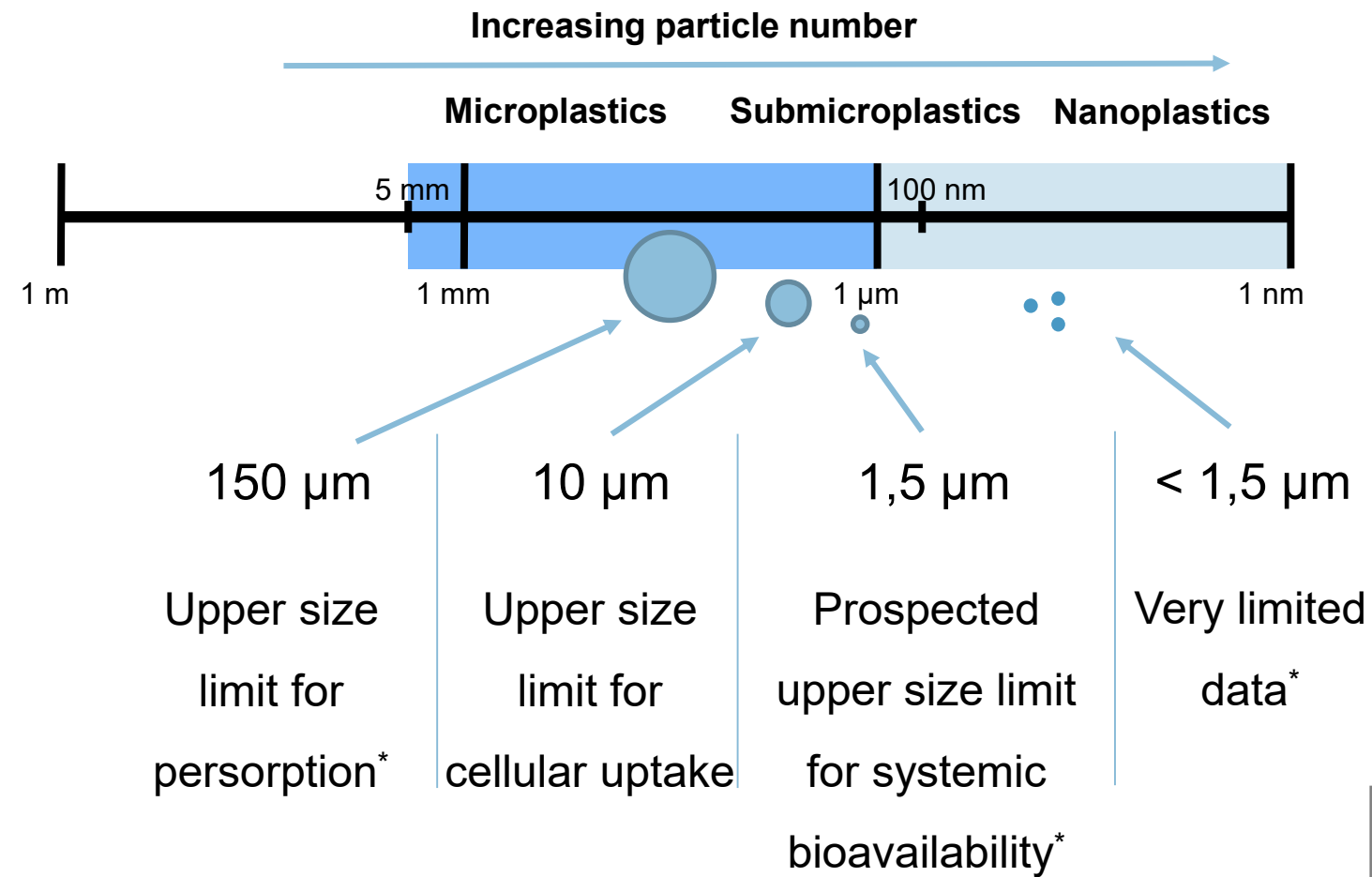
**Discussion Paper**  
Within the scope of the research focus  
Plastics in the Environment  
Sources • Sinks • Solutions

**Microplastics Analytics**  
Sampling, Preparation and  
Detection Methods



# Microplastics: Size limitations of detection methods

# Microplastics: Size-dependent bioavailability



 **EFSA Journal**

**STATEMENT**

ADOPTED: 11 May 2016  
doi: 10.2903/j.efsa.2016.4501

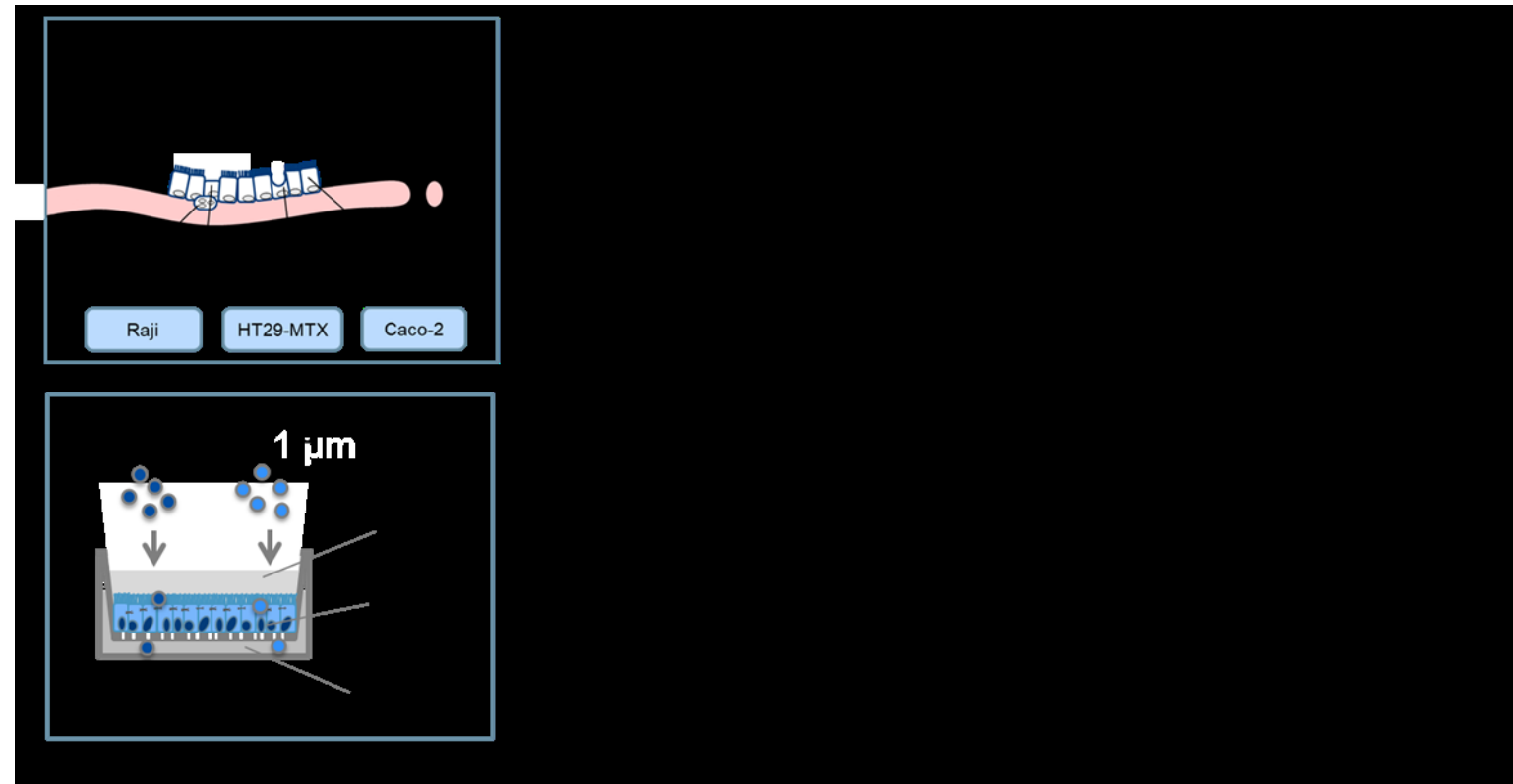
**Presence of microplastics and nanoplastics in food, with particular focus on seafood**

**EFSA Panel on Contaminants in the Food Chain (CONTAM)**

\*EFSA

# Microplastics: BfR studies on cellular uptake

*in vitro*: Intestinal cell lines



*in vivo*: Mouse study

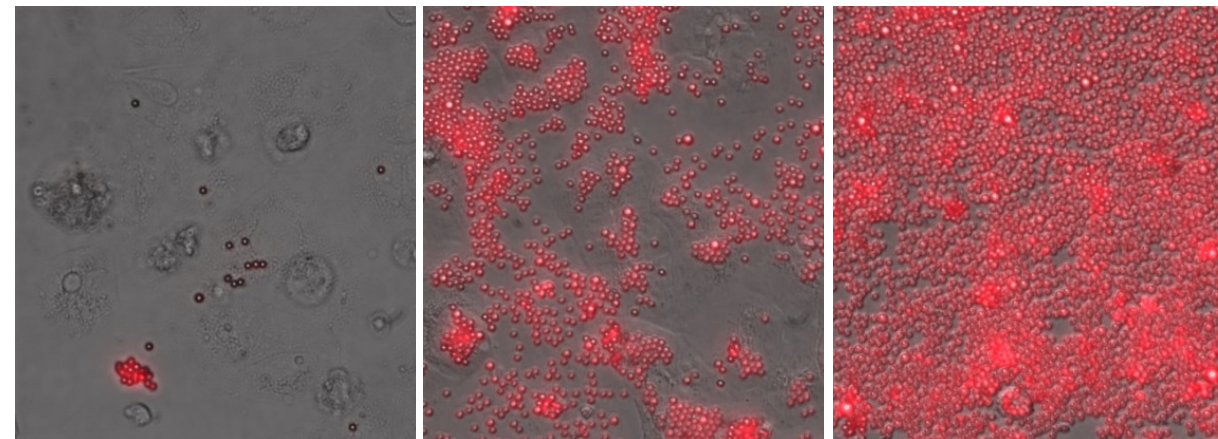
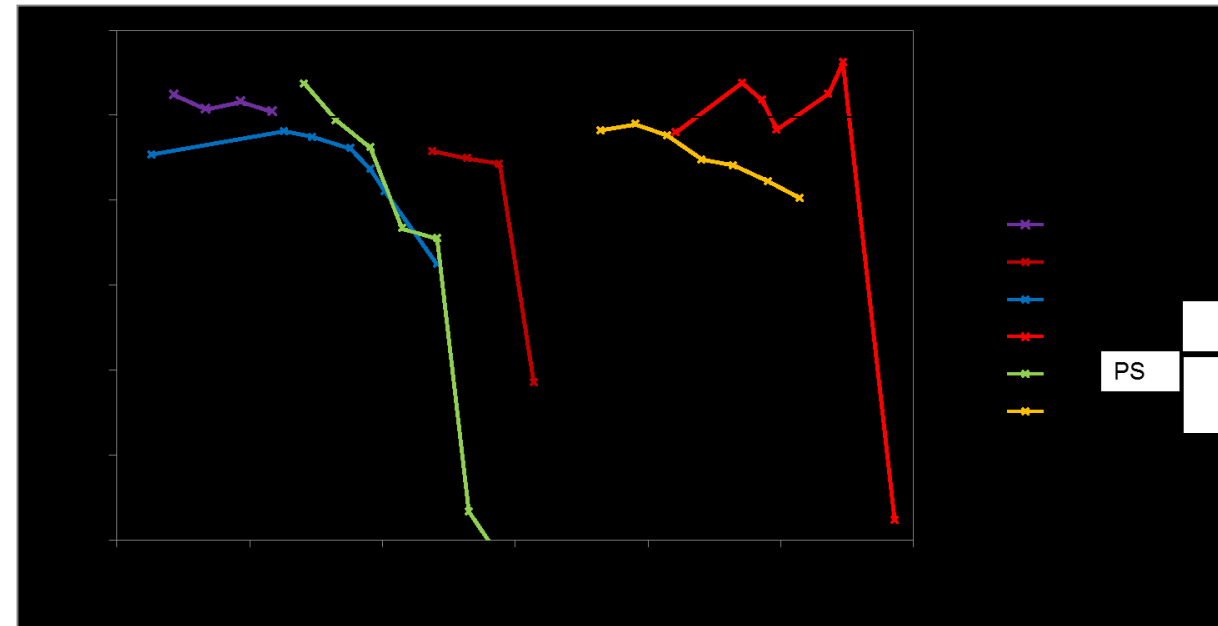
- 28-day oral feeding study (HOTT-mice)
- Only a few particles (1 µm) in organs of the GI-tract



Stock, V.; Böhmert, L.; Lisicki, E.; Block, R.; Cara-Carmona, J.; Pack, L. K.; Selb, R.; Lichtenstein, D.; Voss, L.; Henderson, C. J.; Zabinsky, E.; Sieg, H.; Braeuning, A.; Lampen, A., Uptake and effects of orally ingested polystyrene microplastic particles in vitro and in vivo. *Archives of toxicology* 2019, 93 (7), 1817-1833.

# Characterization of toxicological impact

*in vitro*: Cell viability measurements



Effects only in „overload“ situations



# Characterization of toxicological impact

## Risk assessment and toxicological research on micro- and nanoplastics after oral exposure via food products

German Federal Institute for Risk Assessment (BfR), Department of Food Safety, Unit Effect-based Analytics and Toxicogenomics Unit and Nanotoxicology Junior Research Group, Berlin, Germany, Sofiya Shopova, Holger Sieg and Albert Braeuning

- *in vivo*: different effects detected in a variety of species

**Table 3:** Selected toxicological effects of micro- and nanoplastics

Toxic effects	Microplastics	Model	Main findings	References
Gastrointestinal toxicity	PE	Blue mussel <i>Mytilus edulis</i> L.	Notable histological change and a strong inflammatory response	von Moos et al. (2012)
	PS	Adult male zebrafish	PS microplastics increased the expression of IL-1 $\alpha$ , IL-1 $\beta$ and interferon in the gut; indicated microbiota dysbiosis and inflammation	Jin et al. (2018)
	PA, PE, PP, PVC and PS	Zebrafish and nematode	Villi cracking and splitting of enterocytes	Lei et al. (2018)
	PS	Male mice	Accumulation of PS microplastics in mice guts, consequently caused the reduction of intestinal mucus secretion damage of gut barrier function; metabolic disorders in mice	Jin et al. (2019)
	PS	AGS cells	Inflammatory gene expressions such as IL-6 and IL-8	Forte et al. (2016)
Liver toxicity	PS	Zebrafish	Inflammation and lipid accumulation both in 5 $\mu$ m and 70 nm; oxidative stress and alterations in their metabolic profiles; disturbance of lipid and energy metabolism	Lu et al. (2016)
	PS	<i>Eriocheir sinensis</i>	Decreased activities of AChE, CAT, and ALT in <i>Eriocheir sinensis</i> liver; antioxidants CAT, SOD, GPx and GST level decreased in the liver; expressions of the genes encoding p38 in the MAPK signalling pathway was upregulated while significantly declined in ERK, AKT and MEK	Yu et al. (2018)
Liver toxicity	PS	Mouse	TG and TCH levels decreased; decreases on key gene expressions related to lipogenesis and TG synthesis in liver indicating mouse hepatic lipid disorder	Lu et al. (2018)

- Not according to OECD-criteria
- Often invertebrates, no human studies
- Not under controlled experimental conditions
- Size distributions often not investigated
- Often very high doses

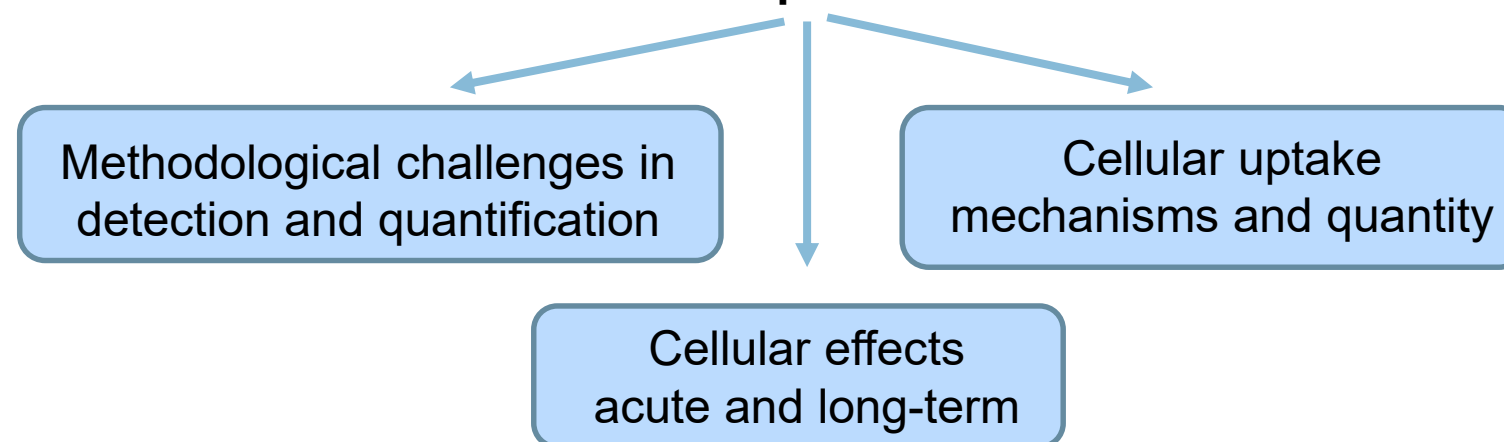


- Not applicable for risk assessment
- No dose-response-relationships available yet
- No Health-Based Guidance Values derivable

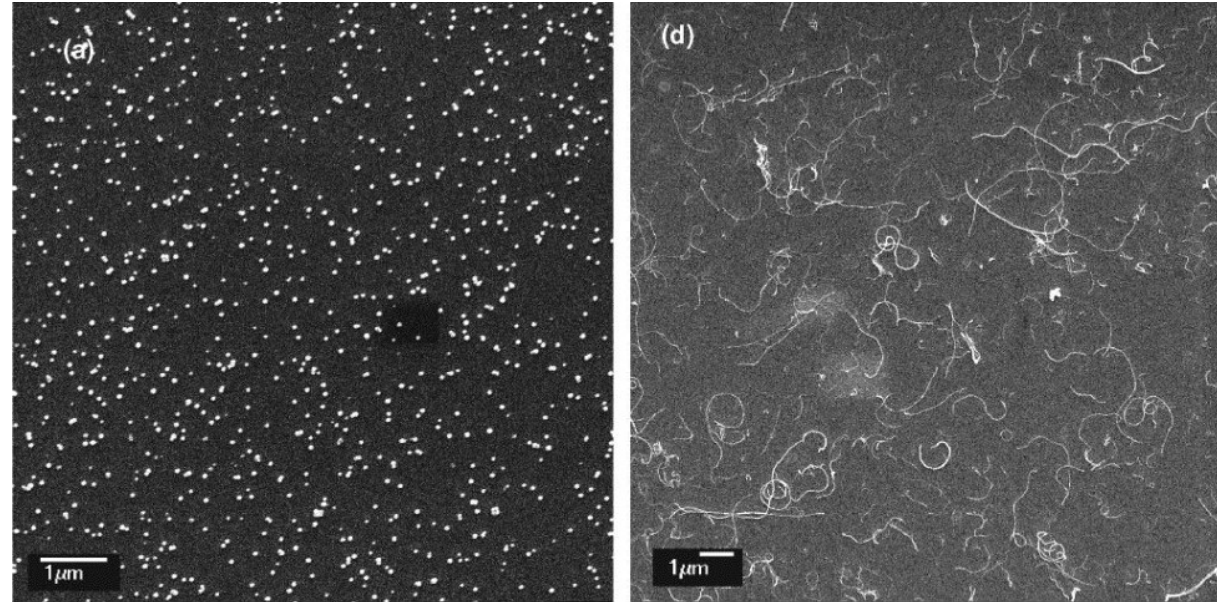
# From Micro- to Nanoplastics

- **Microplastics:** Preliminary assumptions
  - Available exposure studies indicate low uptake:
    - Only few particles detectable
    - Often in non-edible organs
    - Cellular uptake of particles  $> 1.5 \mu\text{m}$  very low
    - Systemic bioavailability unlikely
  - Acute effects are low:
    - Effects only after very high exposure measurable.

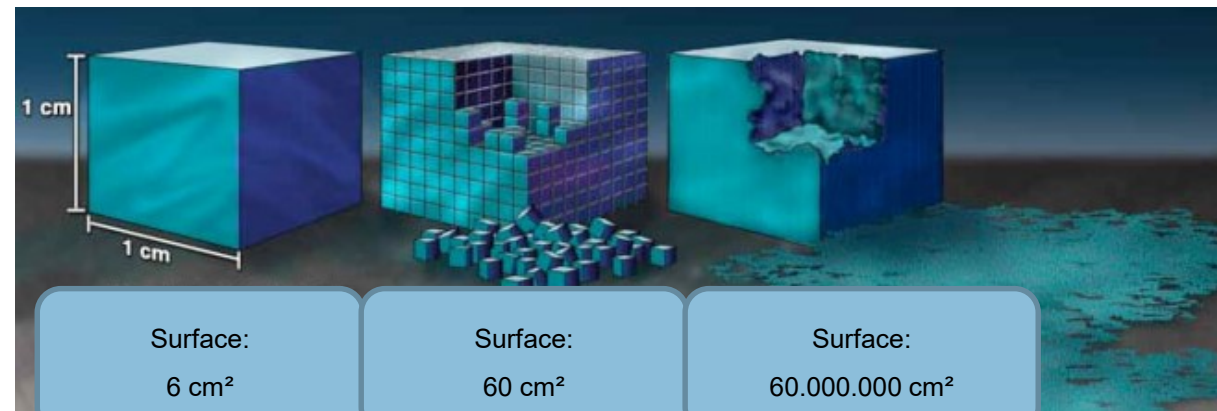
- but: little known about **Nanoplastics**



# From Micro- to Nanoplastics



Quelle: KIM, S. C., (...), OBERDORSTER, G. & PUI, D. Y. 2010.  
A nano-particle dispersion method for in vitro and in vivo nanotoxicity study.  
*Nanotoxicology*, 4, 42-51.



Quelle: [www.nano.gov](http://www.nano.gov)

## Knowledge from Nanotoxicology

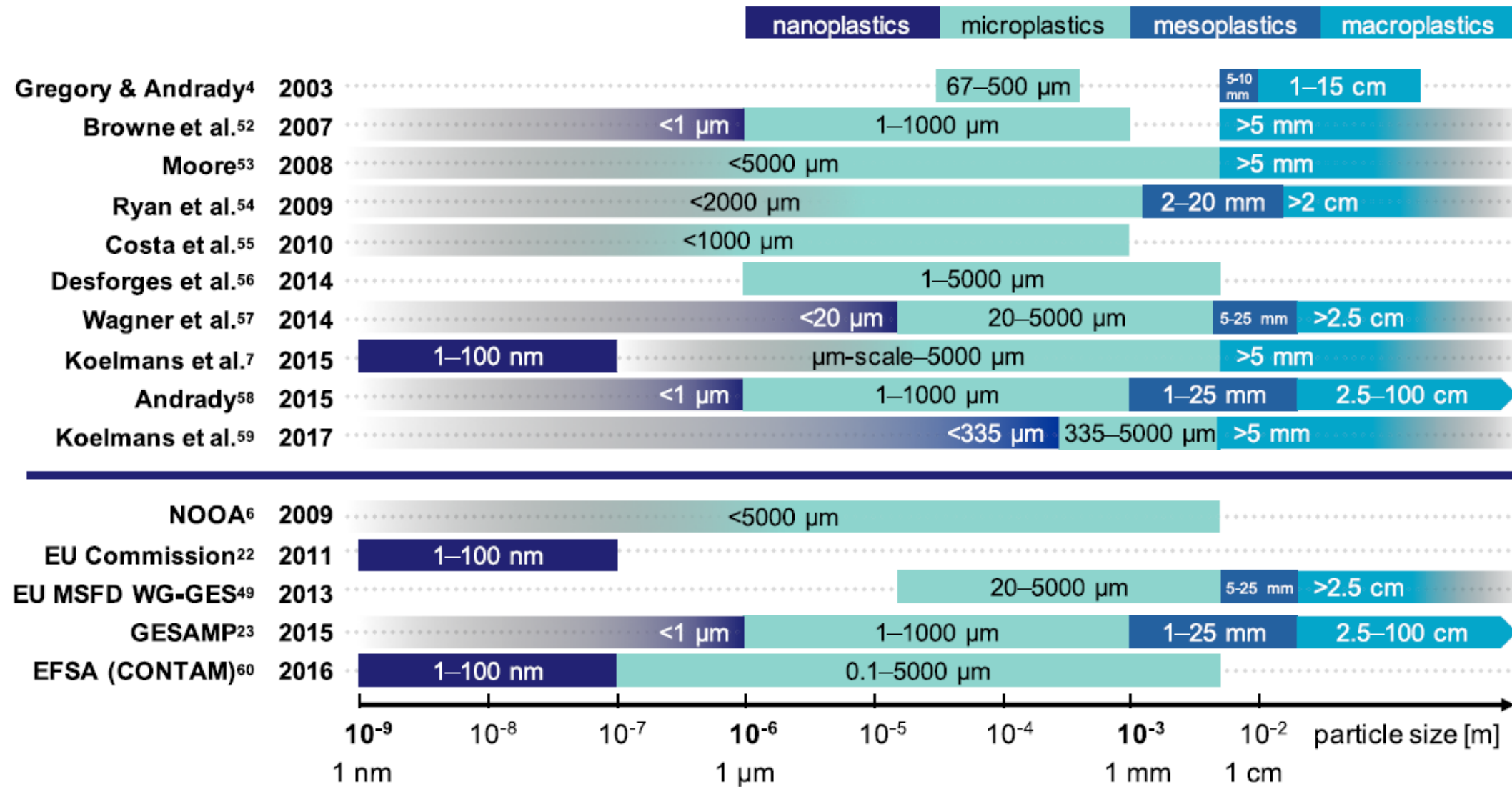
- Nanoparticles can have nano-specific properties
- Enlarged surface-to-mass-ratio
- High reactivity
- Different uptake mechanisms
- Ability to cross biological barriers



Nano-specific effects?

## Are We Speaking the Same Language? Recommendations for a Definition and Categorization Framework for Plastic Debris

Nanna B. Hartmann,<sup>\*,†</sup> Thorsten Hüffer,<sup>\*,‡,Ⓞ</sup> Richard C. Thompson,<sup>§</sup> Martin Hassellöv,<sup>||</sup>





# From Micro- to Nanoplastics: Own Research



	PLA 2000 nm	PLA 250 nm	MF 366 nm	PMMA 25 nm
SEM				
Image analysis				No analysis possible
DLS	Z-average: 2733 nm PDI: 0.972	Z-average: 300 nm PDI: 0.110	Z-average: 413.6 nm PDI: 0.07	Z-average: 50.75 nm PDI: 0.281
Zeta potential	-47.53 mV	-9.63 mV	-11.26 mV	-19.43 mV

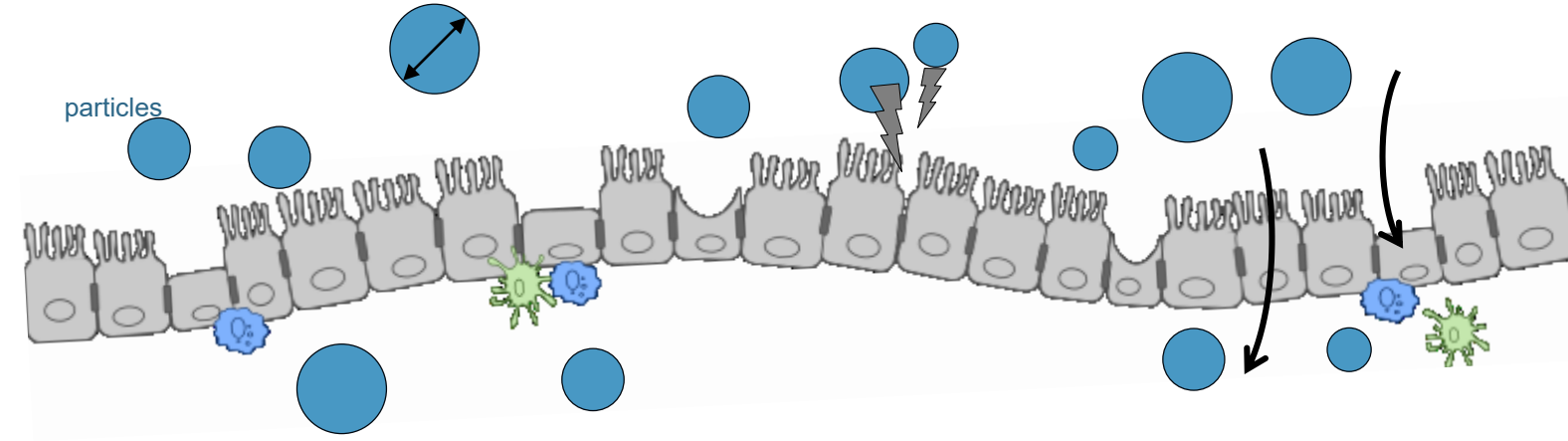
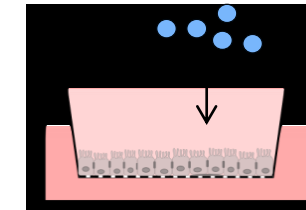
Polydisperse material from micrometer to nanometer range

Submicroparticles  
Same size range, but different materials

Example for nanoplastic particles

Uptake and transport

Intestinal barrier models



Cellular effects  
Intestine and Liver

# Cellular uptake of submicrometer particles

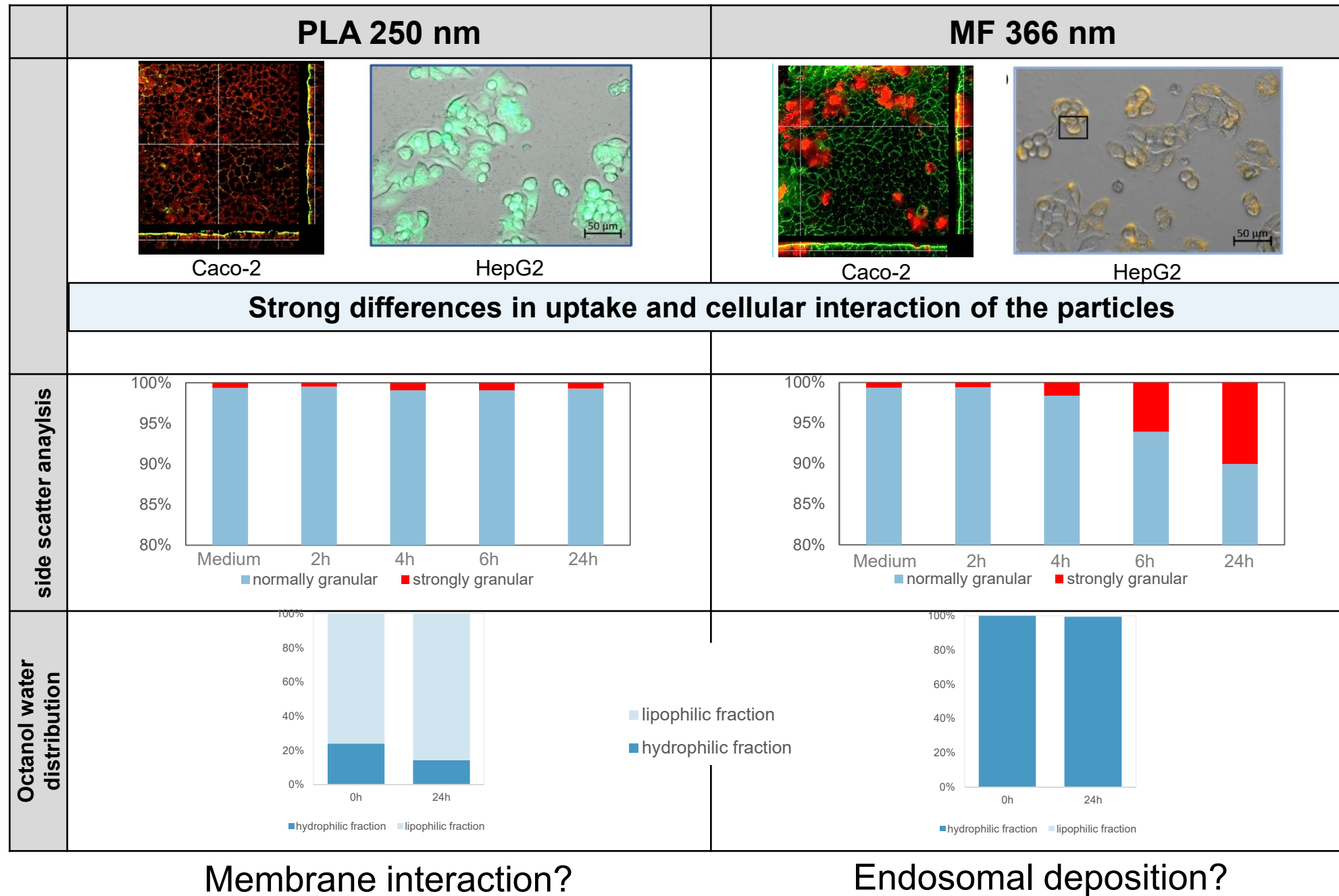
Differences in cellular uptake:

Submicrometer particles show different uptake behavior?

Different intracellular localization?

Different mechanisms?

# Cellular uptake of submicrometer particles



Hypothesis: Differences in Hydrophobicity

*Maxi Paul, paper accepted  
Microplastics and Nanoplastics Journal*

# Cellular uptake of submicrometer particles

**Application in combined Transwell model:**

Transport to hepatic cells after crossing the intestinal barrier?

*Maxi Paul, unpublished data*



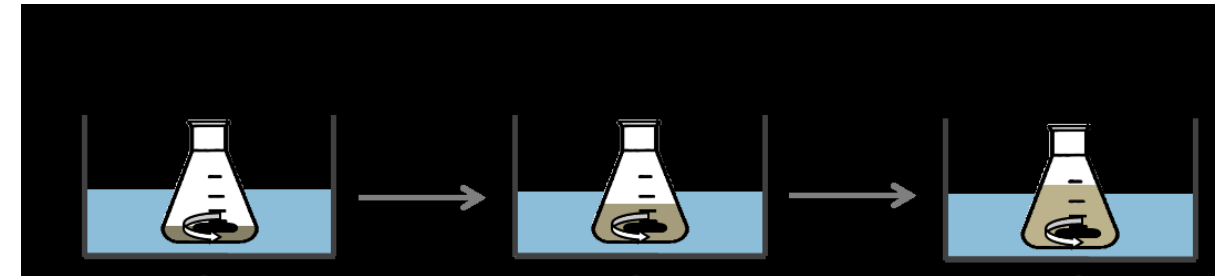
# Next Steps



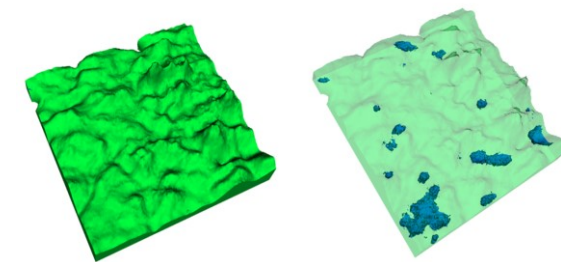
- Rat Study with Fraunhofer ITEM



- *In vitro* Digestion with BAM



- TOF-SIMS with Taipei Medical University



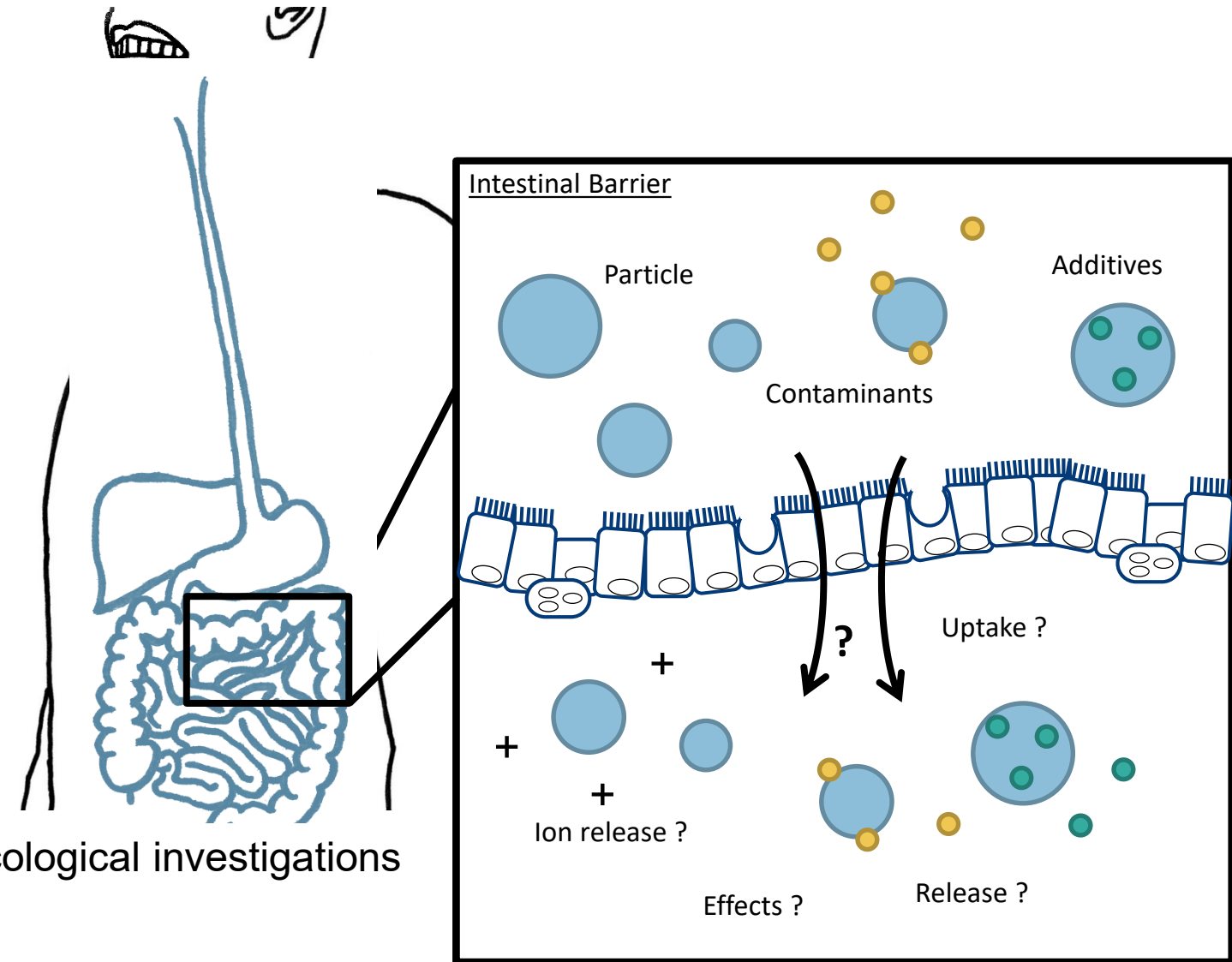
# Summary from the view of Risk Assessment

- State of knowledge:

- Microplastics rather ubiquitously present in food chain
- Complex mixture of chemicals
- Exposure level unclear
- Effects only measurable in overload situations

- Regulatory view:

- Available studies are not applicable for risk assessment yet
- No validated quantification methods
- Routine food control and monitoring not possible yet
- Method development ongoing for: Analytics, quantification and toxicological investigations



- Major research needs:

- Detection and quantification methods, exposure in food matrix
- Mechanisms of action and dose response values
- Submicro- and Nanoplastics, small size fractions

# Thank you for your attention



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Advances




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Cite this: *Nanoscale Adv.*, 2020, 2,  
4350

## Micro- and nanoplastics – current state of knowledge with the focus on oral uptake and toxicity

Maxi B. Paul,<sup>a</sup> Valerie Stock,<sup>a</sup> Julia Cara-Carmona,<sup>a</sup> Elisa Lisicki,<sup>a</sup> Sofiya Shopova,<sup>a</sup>  
Valérie Fessard,<sup>b</sup> Albert Braeuning,<sup>a</sup> Holger Sieg \*<sup>a</sup> and Linda Böhmert<sup>a</sup>

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Prof. Dr. Tanja Schwerdtle

**Dr. Holger Sieg**

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